

Overview of the lectures

1. Introduction

2. Inclusive and semi-inclusive DIS (structure functions)

Basics of collinear PDFs at tree level (definition, gauge link)

3. Basics of collinear PDFs (interpretation)

Basics of TMDs at tree level (definition, gauge link, interpretation)

4. Basics of factorization

Basics of TMD evolution

5. Phenomenology of SIDIS integrated over azimuth

- Phenomenology of SIDIS with azimuthal dependence

Unpolarized SIDIS

$$\frac{d\sigma}{dx dy d\phi_S dz d\phi_h dP_{h\perp}^2} = \frac{\alpha^2}{x y Q^2} \frac{y^2}{2(1-\varepsilon)} \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} \right\}$$

Done last time

Polarized SIDIS

$$\begin{aligned}
 & \frac{d\sigma}{dx dy d\phi_S dz d\phi_h dP_{h\perp}^2} \\
 &= \frac{\alpha^2}{xy Q^2} \frac{y^2}{2(1-\varepsilon)} \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} \right. \\
 &+ \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} + S_L \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] \\
 &+ S_L \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 &+ S_T \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} \right. \\
 &+ \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} \\
 &+ \left. \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] + S_T \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} \right. \\
 &+ \left. \left. \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\}
 \end{aligned}$$

TMDs and their probabilistic interpretation

quark pol.

	U	L	T
nucleon pol. U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

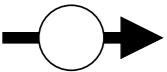

Twist-2 TMDs



TMDs in black survive transverse-momentum integration

TMDs in red are T-odd

TMDs and their probabilistic interpretation

Proton goes out of the screen/ photon goes into the screen

  nucleon with transverse or longitudinal spin

  parton with transverse or longitudinal spin

 parton transverse momentum

$$f_1 = \text{[Diagram: Circle with red dot in center]}$$

$$g_1 = \text{[Diagram: Circle with black dot and red dot in center]} - \text{[Diagram: Circle with black dot and red cross in center]}$$

$$h_1 = \text{[Diagram: Circle with red dot and red arrow pointing right]} - \text{[Diagram: Circle with red dot and red arrow pointing left]}$$

$$f_{1T}^\perp = \text{[Diagram: Circle with blue arrow pointing down and red dot in center]} - \text{[Diagram: Circle with blue arrow pointing up and red dot in center]}$$

$$h_1^\perp = \text{[Diagram: Circle with blue arrow pointing down, red dot, and red arrow pointing right]} - \text{[Diagram: Circle with blue arrow pointing up, red dot, and red arrow pointing right]}$$

$$g_{1T} = \text{[Diagram: Circle with red dot and blue arrow pointing right]} - \text{[Diagram: Circle with red dot and blue arrow pointing left]}$$

$$h_{1L}^\perp = \text{[Diagram: Circle with black dot, red dot, and blue arrow pointing right]} - \text{[Diagram: Circle with black dot, red dot, and blue arrow pointing left]}$$

$$h_{1T}^\perp = \text{[Diagram: Circle with red dot, blue arrow pointing right, and blue arrow pointing up]} - \text{[Diagram: Circle with red dot, blue arrow pointing left, and blue arrow pointing up]}$$

There was a mistake in this diagram in lecture 3

Sivers

Asymmetry

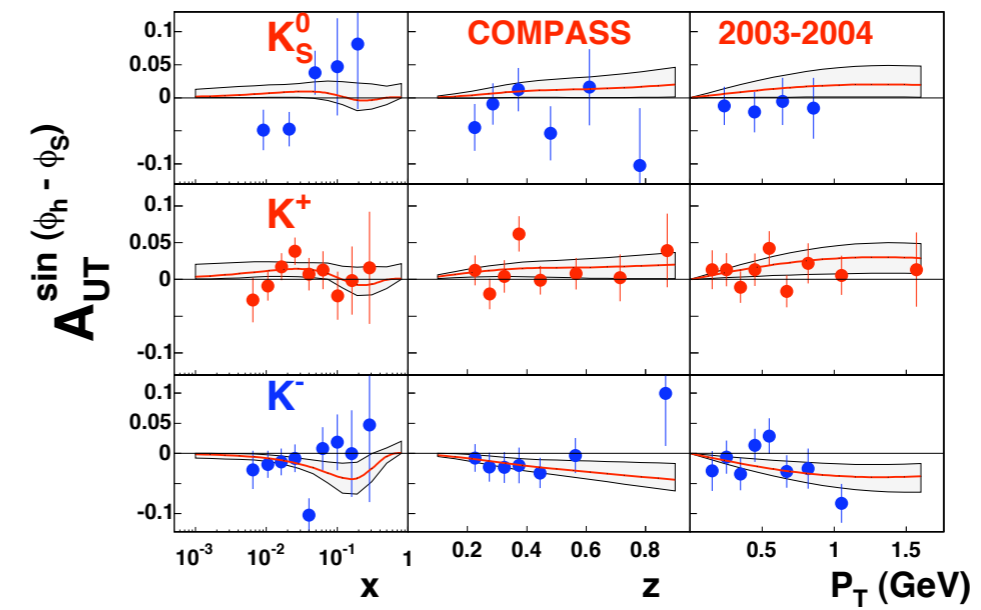
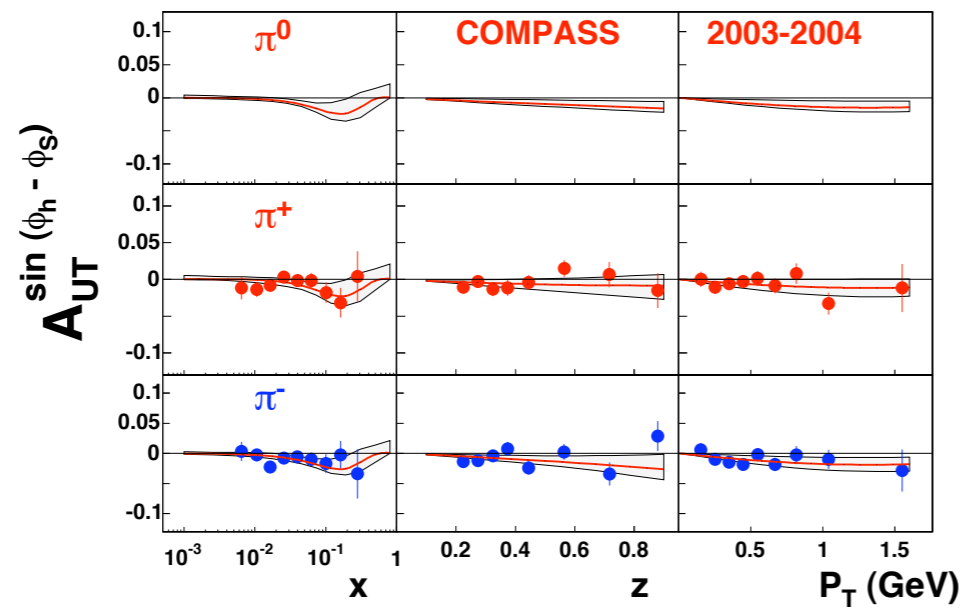
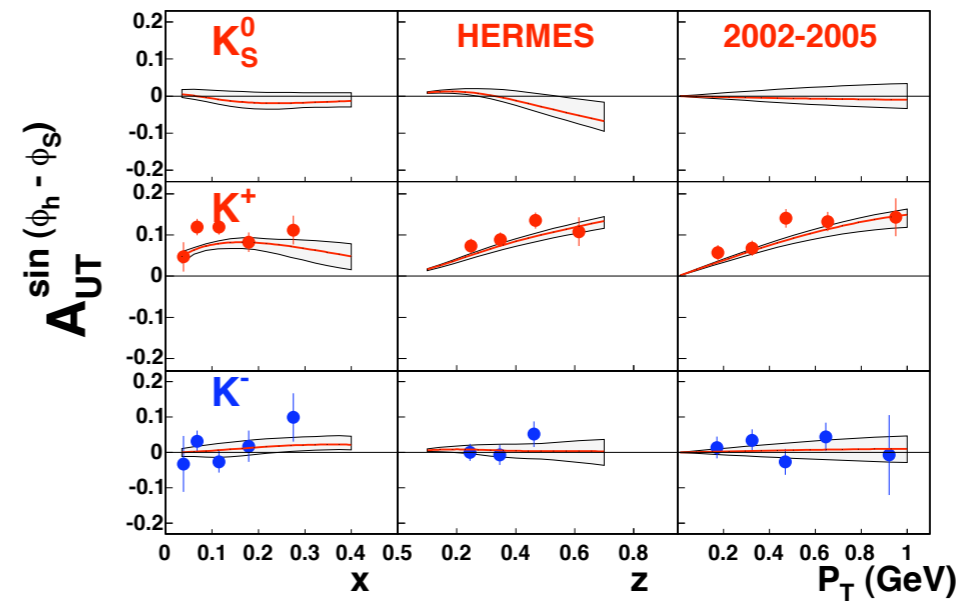
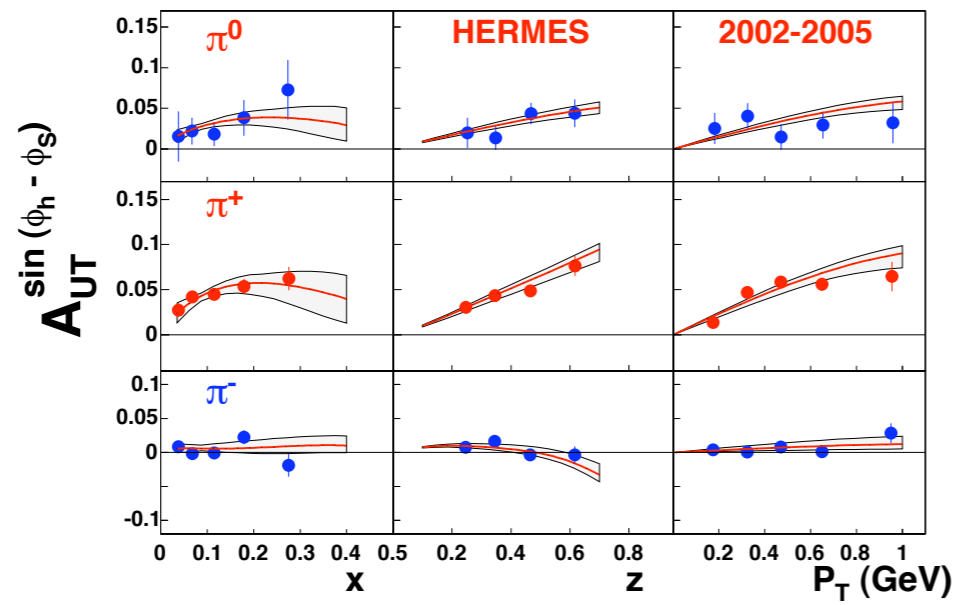
$$F_{UT}^{\sin(\phi_h - \phi_s)} = \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} f_{1T}^\perp D_1 \right]$$

Gaussian ansatz

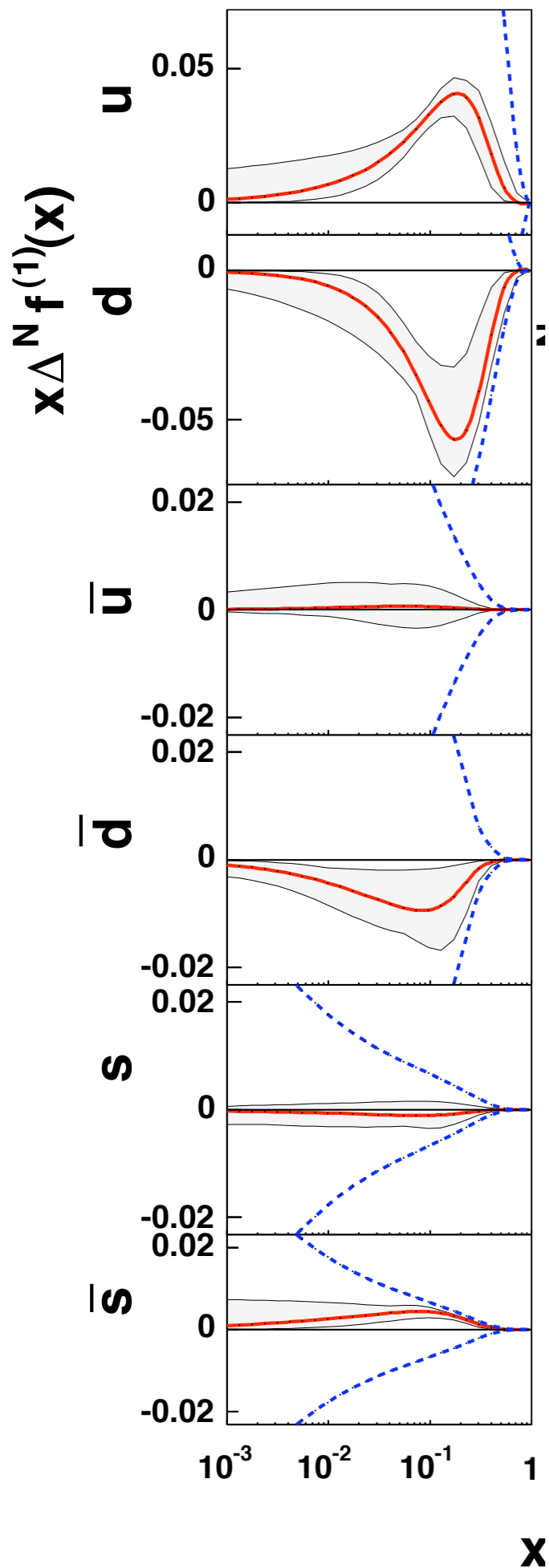
$$f_{1T}^{\perp a}(x, p_T^2) = \frac{f_{1T}^{\perp a}(x)}{\pi \rho_a^2} e^{-\mathbf{p}_T^2 / \rho_a^2}, \quad D_1^a(z, k_T^2) = \frac{D_1^a(z)}{\pi \sigma_a^2} e^{-z^2 \mathbf{k}_T^2 / \sigma_a^2}$$

$$F_{UT,T}^{\sin(\phi_h - \phi_s)} = x \sum_a e_a^2 \frac{|P_{h\perp}|}{M} f_{1T}^{\perp a}(x) D_1^a(z) \frac{z \rho_a^2}{\pi (z^2 \rho_a^2 + \sigma_a^2)^2} e^{-\mathbf{P}_{h\perp}^2 / (z^2 \rho_a^2 + \sigma_a^2)}$$

Data



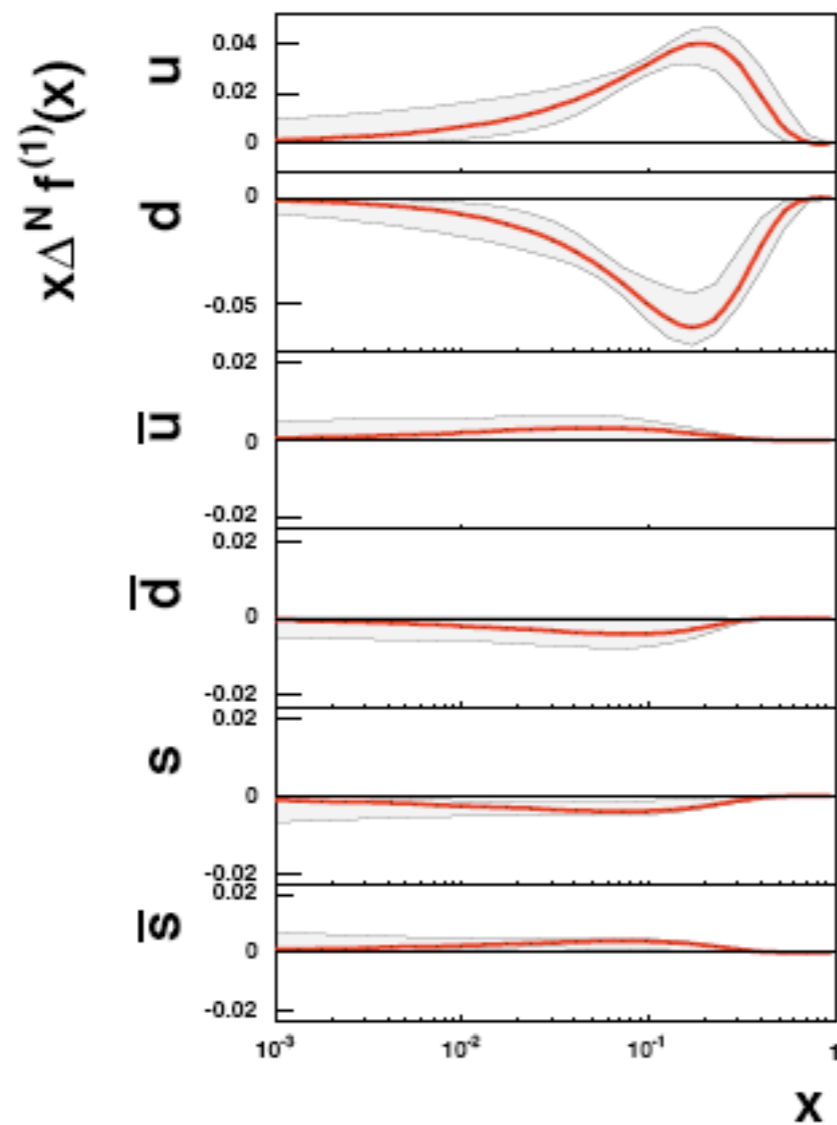
Sivers functions



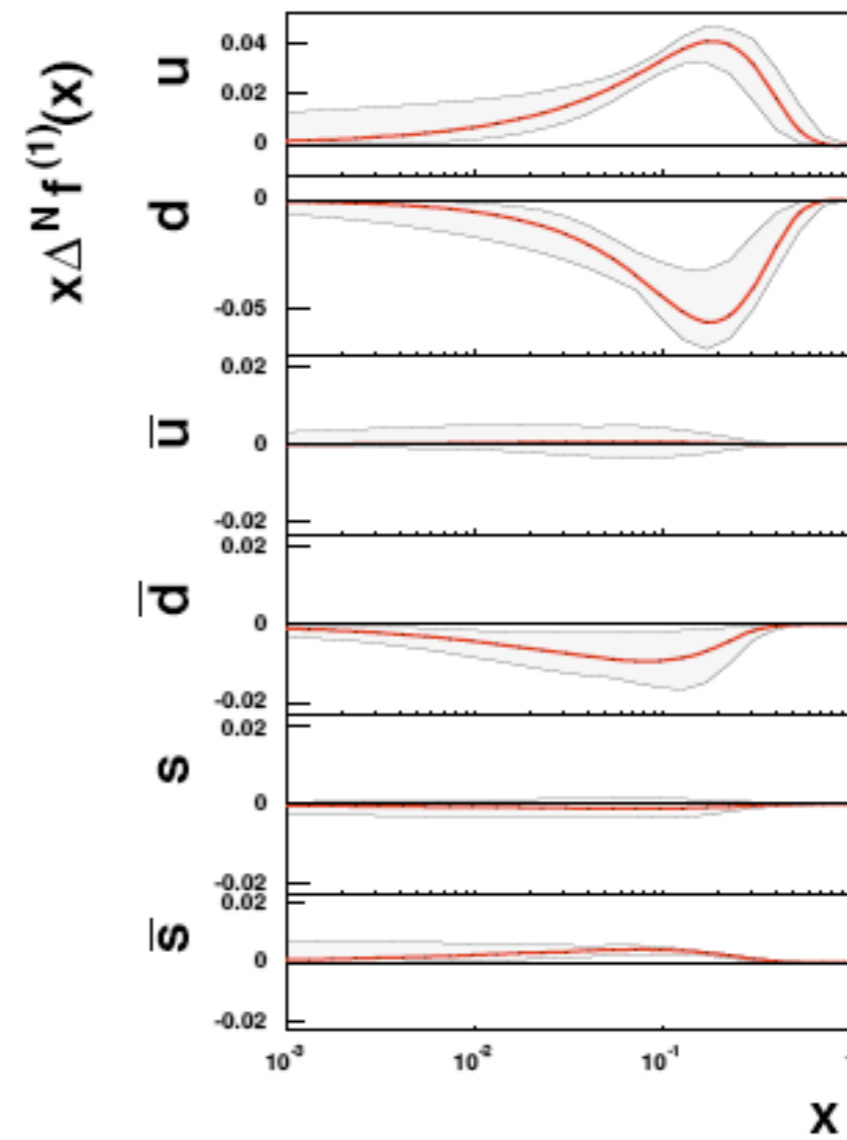
- Data from HERMES, COMPASS
- 96 data points (some correlations -- cf. 467 points for Δq fits)
- no sys errors
- $\chi^2 \approx 1.0$
- Statistical uncertainty only ($\Delta\chi^2 \approx 17$)

Sivers function: Torino

“Symmetric sea”



Free fit



Anselmino et al., 0805.2677

Sivers function: Bochum

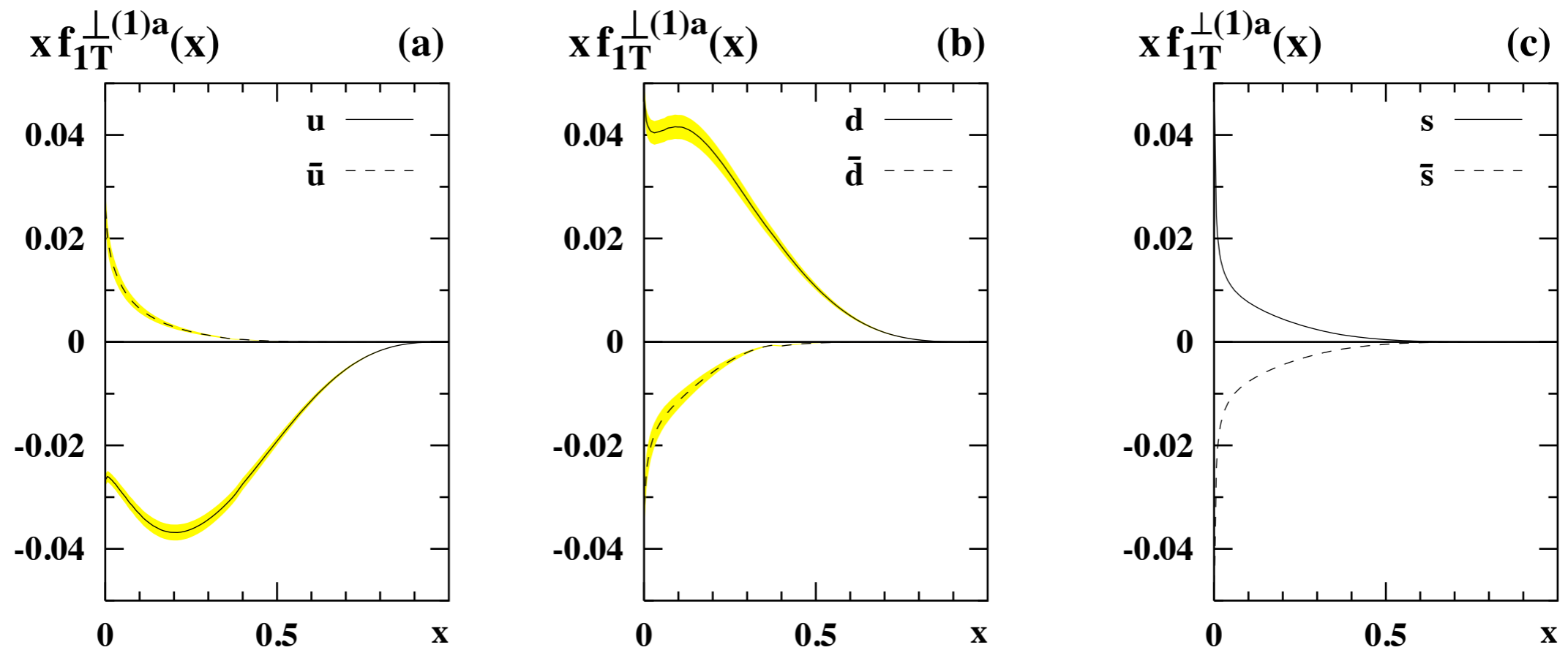


FIGURE 7. The $x f_{1T}^{\perp(1)a}(x)$ vs. x as extracted from preliminary HERMES and COMPASS data [10, 11]. (a) The flavours u and \bar{u} . (b) The flavours d and \bar{d} . (c) The flavours s and \bar{s} that were fixed to \pm positivity bounds (17) for reasons explained in Sec. 7, see also Eqs. (18, 19). The shaded areas in (a) and (b) show the respective $1-\sigma$ -uncertainties.

Arnold et al. , 0805.2137

Relation to anomalous magnetic moment

Model statement

$$(1-x)f_{1T}^{\perp q}(x) = -\frac{3}{2}MC_F\alpha_S E^q(x, 0, 0)$$

$$\int_0^1 dx(1-x)f_{1T}^{\perp q}(x) = -\frac{3}{2}MC_F\alpha_S \kappa^q$$

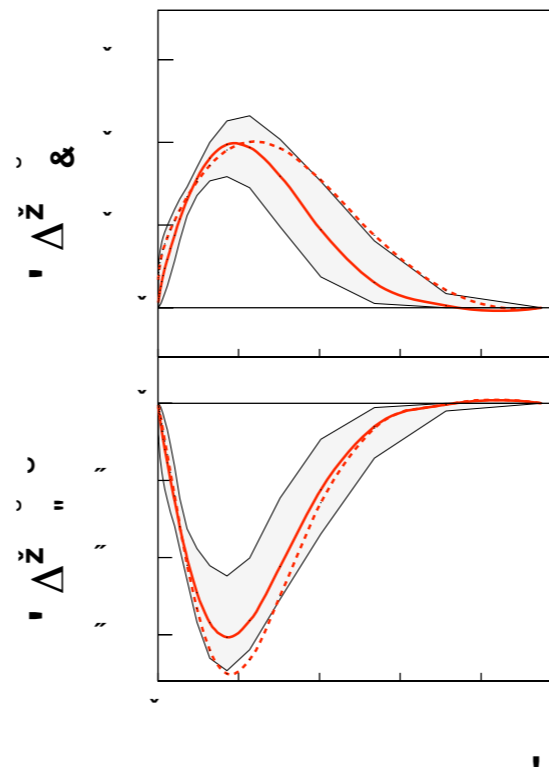
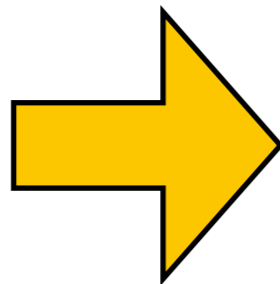
Burkardt, Hwang, PRD69 (04)

Lu, Schmidt, PRD75 (07)

A.B., F. Conti, M. Radici, arXiv:0807.0323

$$\kappa^u = 1.67$$

$$\kappa^d = -2.03$$



Anselmino et al., 0805.2677,

Arnold et al., 0805.2137

The relation is not general

A simple assumption

$$f_{1T}^{\perp q}(x) = -f(x) E^q(x, 0, 0)$$

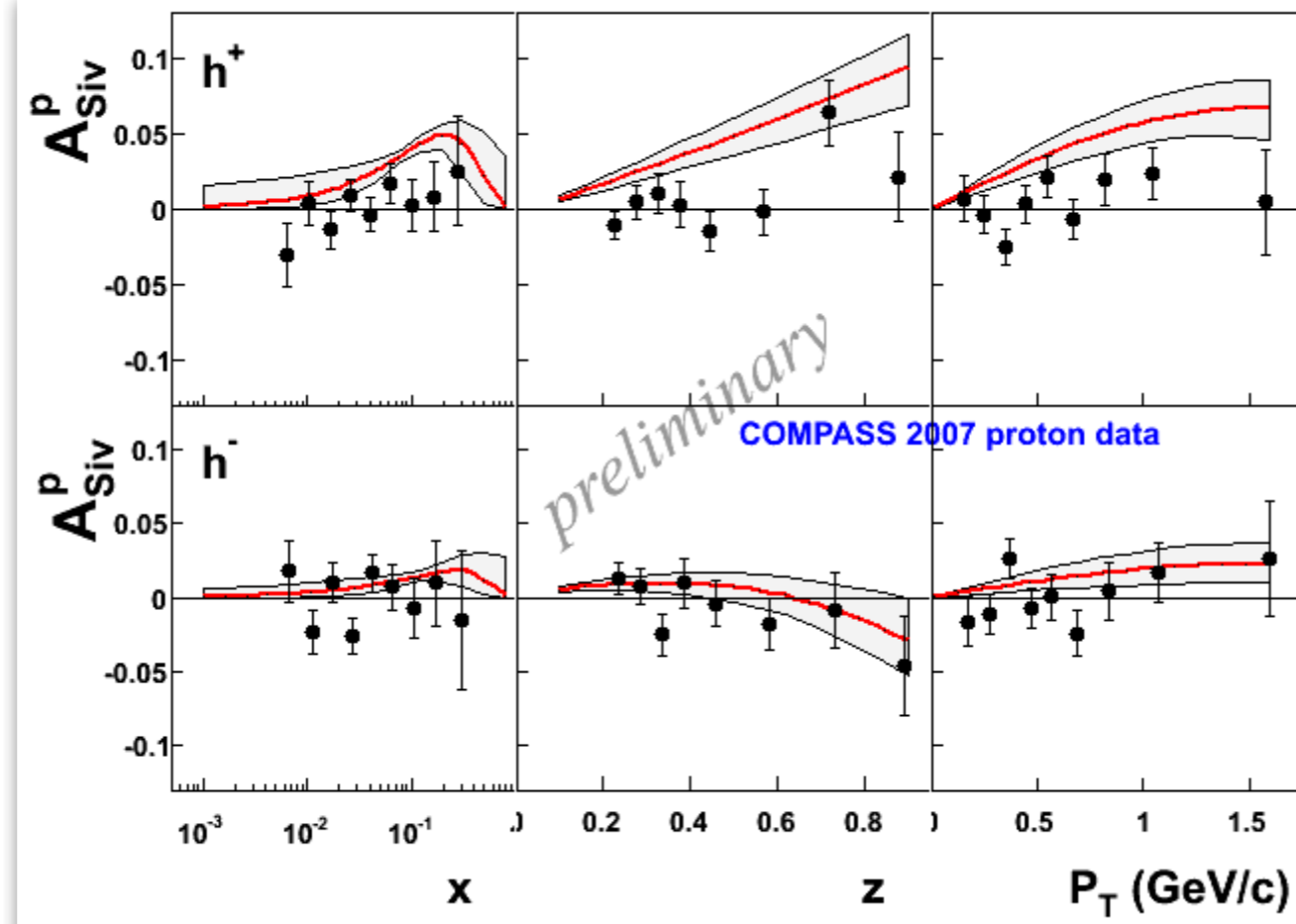
$$f_{1T}^{\perp g}(x) = -f'(x) E^g(x, 0, 0)$$

$$\frac{E^a(x, 0, 0)}{E^u(x, 0, 0)} = \frac{f_{1T}^{\perp a}(x)}{f_{1T}^{\perp u}(x)} = \frac{A_a}{A_u} \frac{f_1^a(x)}{f_1^u(x)},$$

$$\frac{A_d}{A_u} = -1.8 \pm 0.2, \quad \frac{A_{\bar{u}}}{A_u} = -1.1 \pm 0.1, \quad \frac{A_{\bar{d}}}{A_u} = 1.3 \pm 0.2, \quad \frac{A_s}{A_u} = -\frac{A_{\bar{s}}}{A_u} = -4.8.$$

*AB, arXiv:0902.2712 [hep-ph]
using fit of Arnold et al. , 0805.2137*

Sivers: COMPASS proton



data: S. Levorato, Transversity 08
prediction: Anselmino et al., 0805.2677

The question of evolution

Back to $F_{UU,T}$, “Leading Log” only, b space

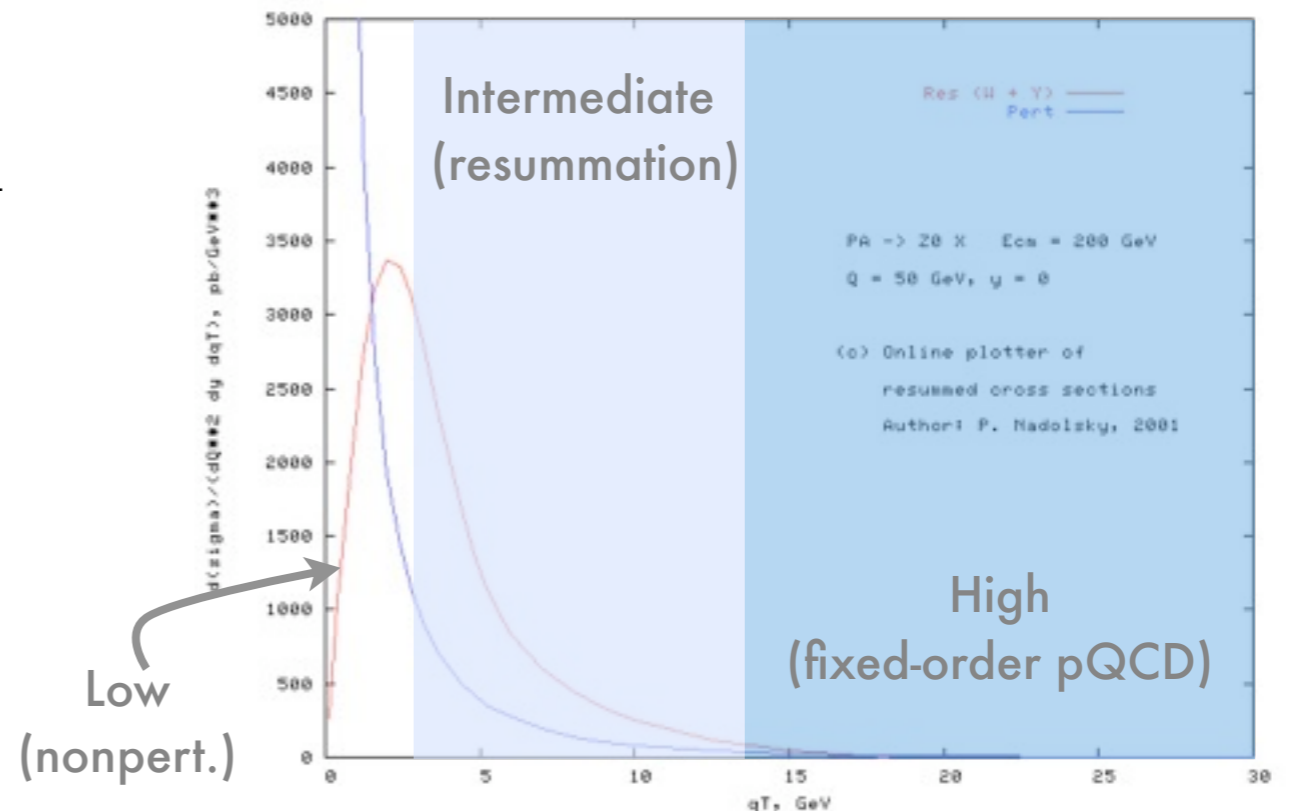
Collins, Soper, Sterman, NPB250 (85)

$$F_{UU,T}(x, z, b, Q^2) = x \sum_a e_a^2 f_1^a\left(x; \frac{b^0}{b}\right) D_1^a\left(z; \frac{b^0}{b}\right) e^{-S} e^{-S_{NP}}$$

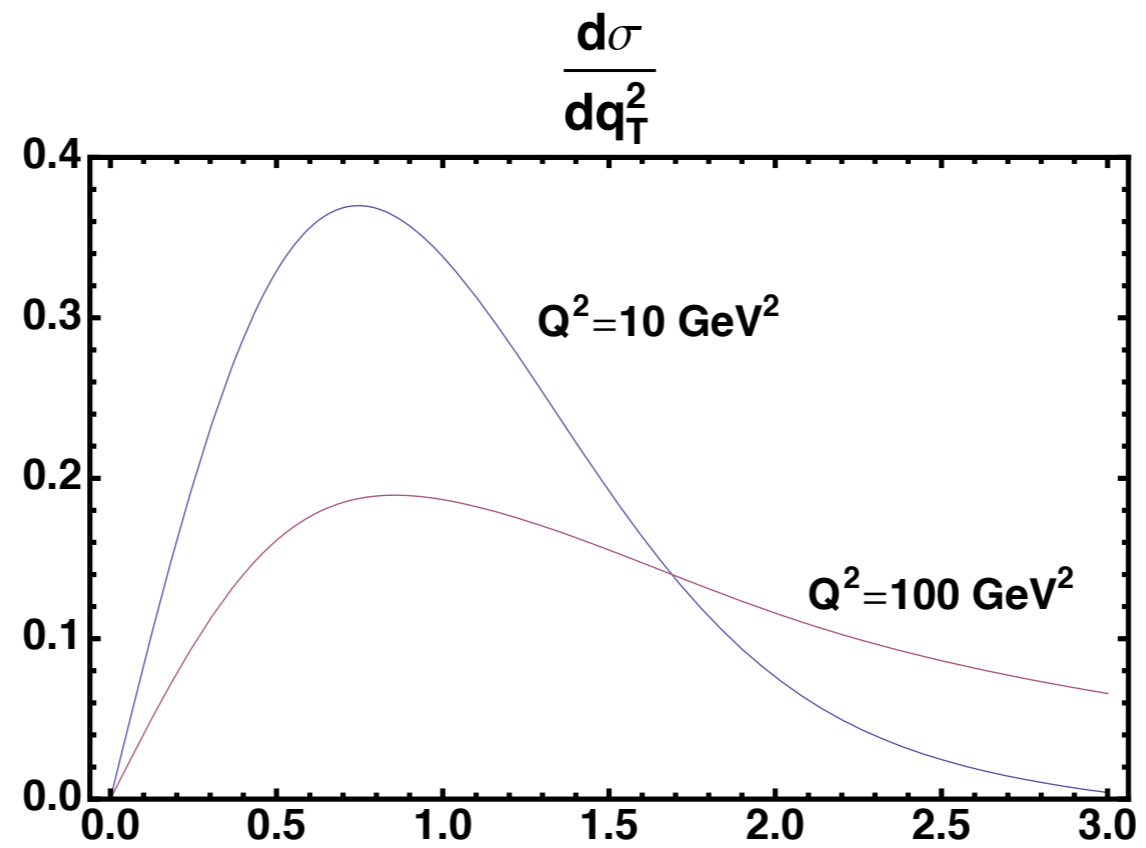
collinear PDFs

nonperturbative part of TMD

$$S(b, Q^2) = \int_{b_0^2/b^2}^{Q^2} \frac{d\mu^2}{\mu^2} \frac{\alpha_S(\mu^2)}{2\pi} 2C_F \log \frac{Q^2}{\mu^2}$$



Leading-log evolution



Evolution of Sivers function

$$f_1^{\text{NS}}(x, p_T^2) = \frac{\alpha_s}{2\pi^2} \frac{1}{p_T^2} \left[\left(\frac{L(\eta^{-1})}{2} - C_F \right) f_1^{\text{NS}}(x) + (P_{qq} \otimes f_1^{\text{NS}}) \right]$$

$$\frac{p_T^2}{2M^2} f_{1T}^{\perp \text{NS}}(x, p_T^2) = \frac{\alpha_s}{2\pi^2} \frac{M}{p_T^2} \left[\left(\frac{L(\eta^{-1})}{2} - C_F \right) f_{1T}^{\perp(1)\text{NS}}(x) + \dots \right]$$

$$F_{UU,T} = \frac{1}{q_T^2} \frac{\alpha_s}{2\pi^2 z^2} \sum_a x e_a^2 \left[f_1^a(x) D_1^a(z) L\left(\frac{Q^2}{q_T^2}\right) + \dots \right]$$

$$\frac{q_T}{M} F_{UT,T}^{\sin(\phi_h - \phi_s)} = \frac{1}{q_T^2} \frac{\alpha_s}{2\pi^2 z^2} \sum_a x e_a^2 \left[-f_{1T}^{\perp(1)a}(x) D_1^a(z) L\left(\frac{Q^2}{q_T^2}\right) + \dots \right]$$

Idilbi, Ji, Ma, Yuan, PRD70 (04)

Boer, NPB 806 (09)

Evolution of trans. moment of Sivers function

Kang, Qiu, PRD79 (09)
Vogelsang, Yuan, arXiv:0904.0410 [hep-ph]

$$\frac{\partial f_1^{\text{NS}}(x, \mu^2)}{\partial \ln \mu^2} = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 \frac{d\xi}{\xi} f_1^{\text{NS}}(\xi, \mu^2) P_{qq}(z) \Big|_{z=x/\xi}$$

$$\begin{aligned} \frac{\partial \mathcal{T}_{q,F}(x, x, \mu_F)}{\partial \ln \mu_F^2} = & \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} \left\{ P_{qq}(z) \mathcal{T}_{q,F}(\xi, \xi, \mu_F) \right. \\ & + \frac{C_A}{2} \left[\frac{1+z^2}{1-z} [\mathcal{T}_{q,F}(\xi, x, \mu_F) - \mathcal{T}_{q,F}(\xi, \xi, \mu_F)] + z \mathcal{T}_{q,F}(\xi, x, \mu_F) \right] \\ & \left. + \frac{C_A}{2} [\mathcal{T}_{\Delta q,F}(x, \xi, \mu_F)] \right\}, \end{aligned}$$

$$T_F(x, x) \equiv 2M f_{1T}^{\perp(1)}(x)$$

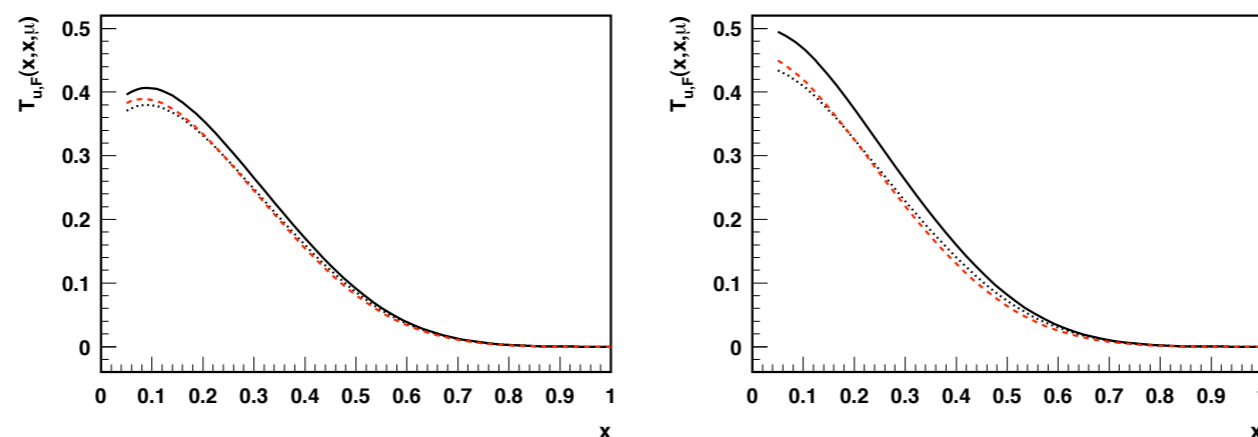


FIG. 12: Twist-3 up-quark-gluon correlation $T_{u,F}(x, x, \mu_F)$ as a function of x at $\mu_F = 4$ GeV (left) and $\mu_F = 10$ GeV (right). The factorization scale dependence is a solution of the flavor non-singlet evolution equation in Eq. (99). Solid and dotted curves correspond to $\sigma = 1/4$ and $1/8$, while the dashed curve is obtained by keeping only the DGLAP evolution kernel $P_{qq}(z)$ in Eq. (99).

Conclusions about Sivers

- Several limits to present analysis, but things are moving
- There is a framework to study evolution
- One of the problems is also the knowledge of the kT dependence of fragmentation functions (help from BELLE soon?)
- Connections with orbital angular momentum?

Transversity

Asymmetry

$$F_{UT}^{\sin(\phi_h + \phi_s)} = \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} h_1 H_1^\perp \right]$$

Collins asymmetries

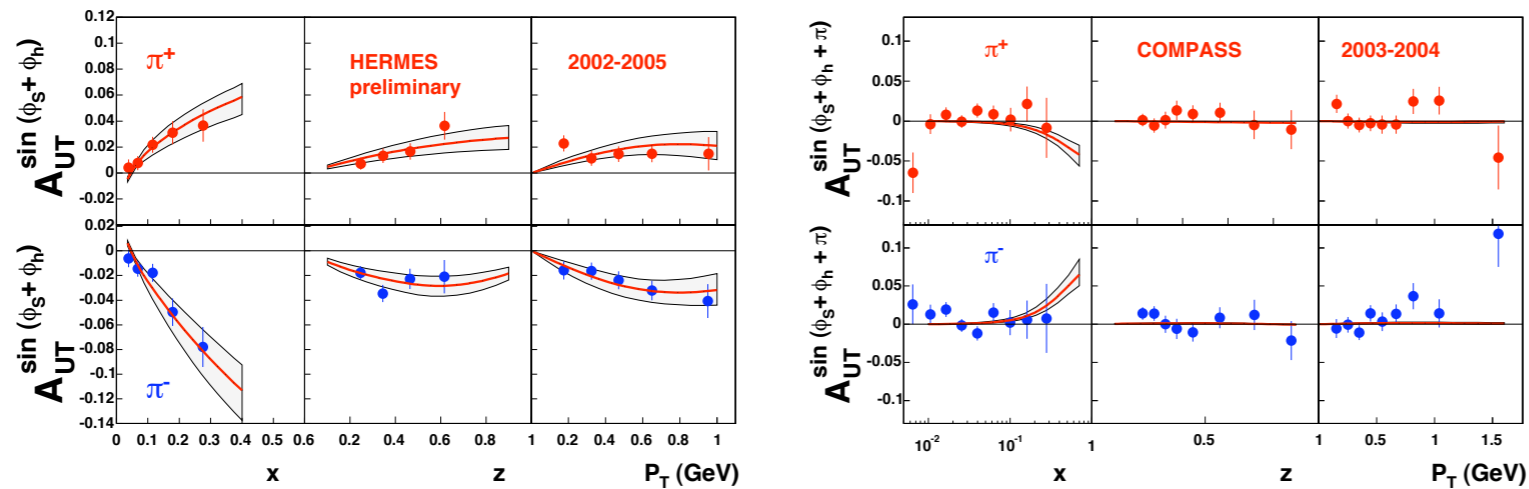


Figure 2: Fits of HERMES [4] and COMPASS [5] data. The shaded area corresponds to the uncertainty in the parameter values, see Ref. [3].

Data:
 HERMES, arXiv:0706.2242
 COMPASS, PLB673 (09)

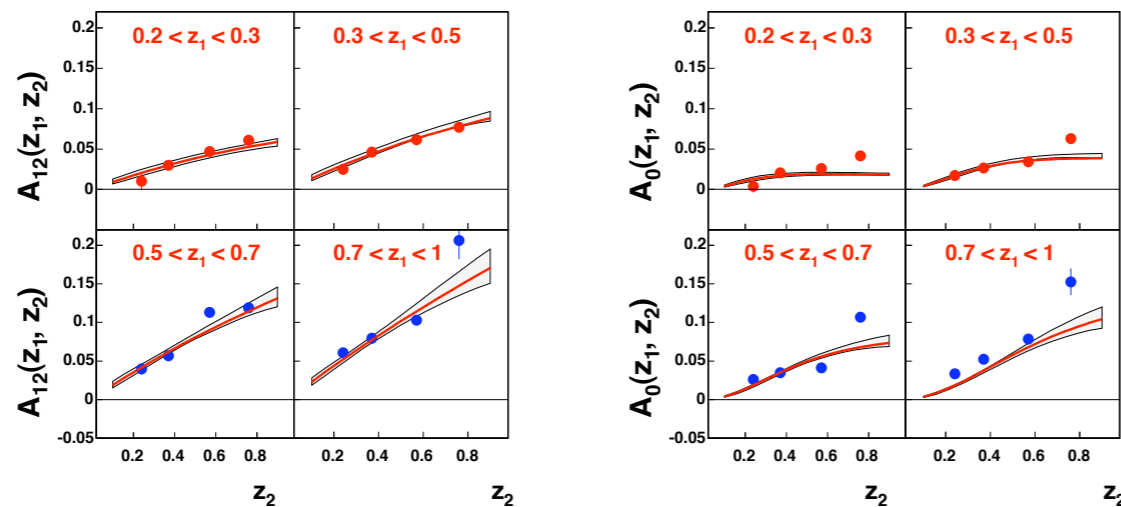


Figure 3: Left panel: fit of the BELLE [6] data on the A_{12} asymmetry ($\cos(\varphi_1 + \varphi_2)$ method). Right panel: predictions for the A_0 BELLE asymmetry ($\cos(2\varphi_0)$ method).

Data: BELLE, PRD78 (08)

Analysis: Anselmino et al., arXiv:0807.0173

Collins asymmetries

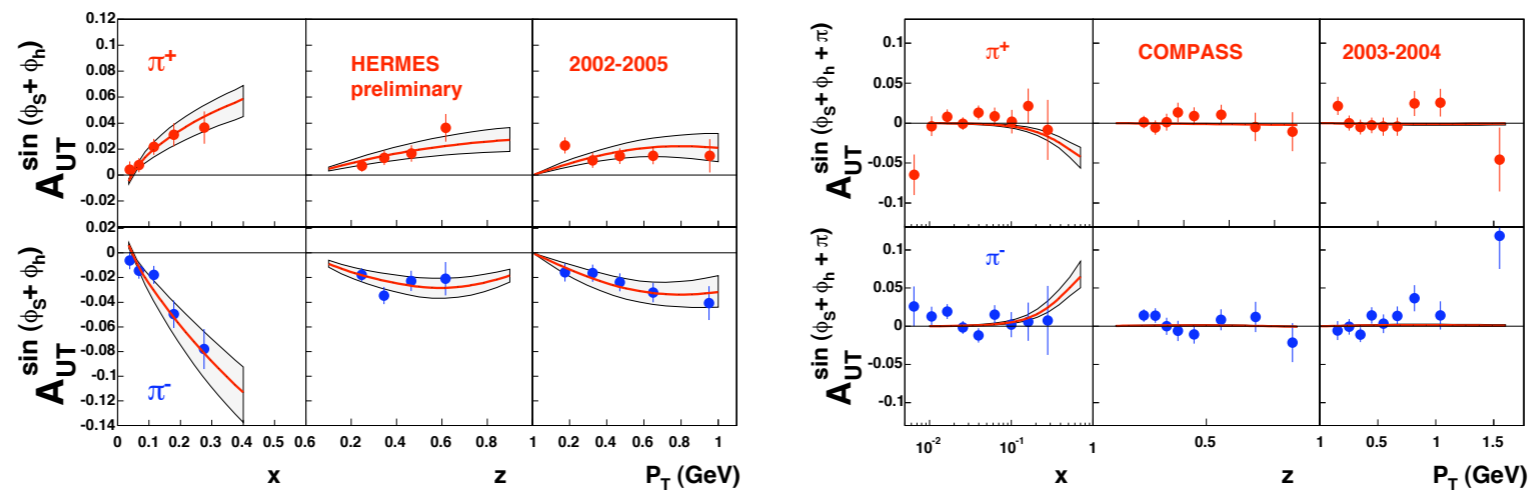


Figure 2: Fits of HERMES [4] and COMPASS [5] data. The shaded area corresponds to the uncertainty in the parameter values, see Ref. [3].

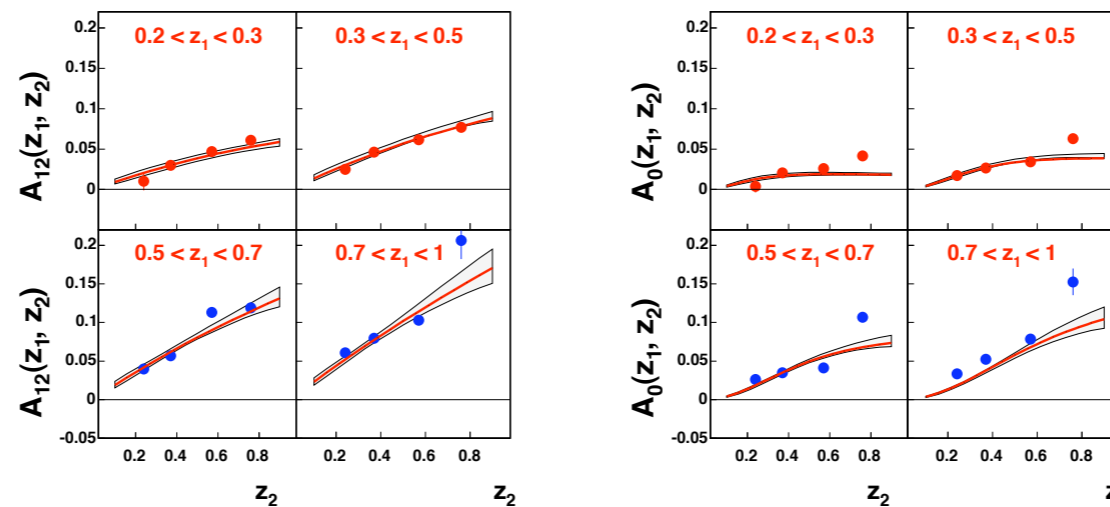


Figure 3: Left panel: fit of the BELLE [6] data on the A_{12} asymmetry ($\cos(\varphi_1 + \varphi_2)$ method). Right panel: predictions for the A_0 BELLE asymmetry ($\cos(2\varphi_0)$ method).

Anselmino et al., arXiv:0807.0173

Transversity and Collins

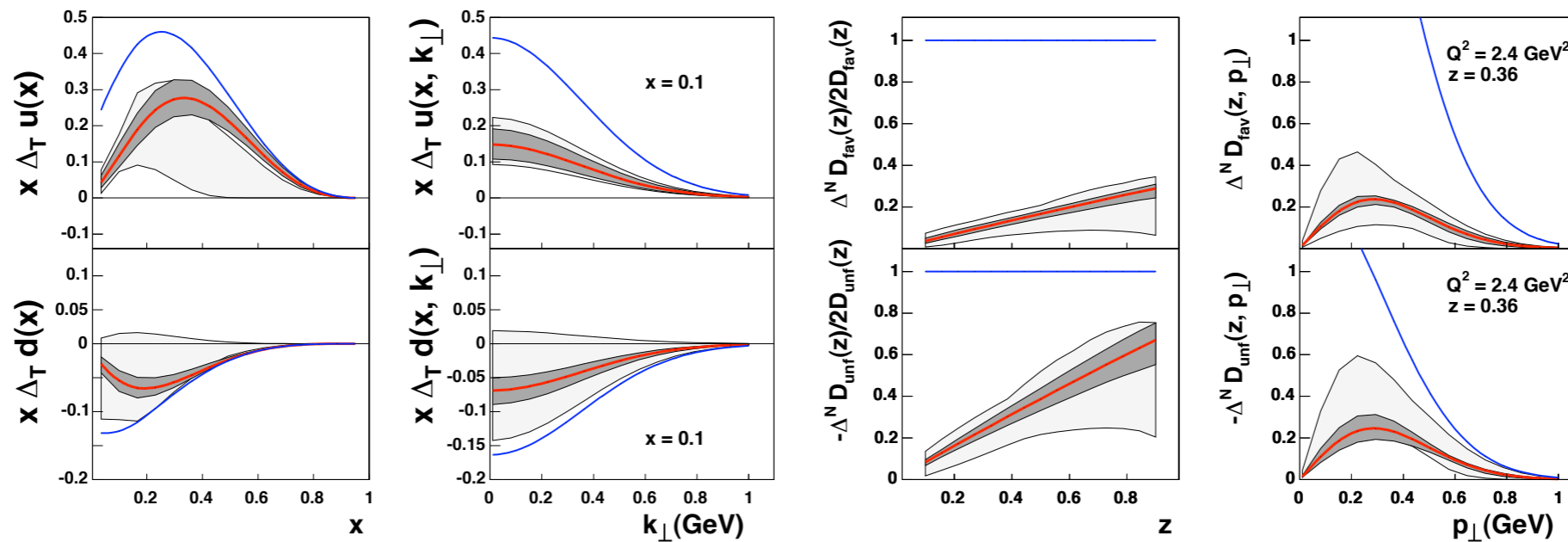
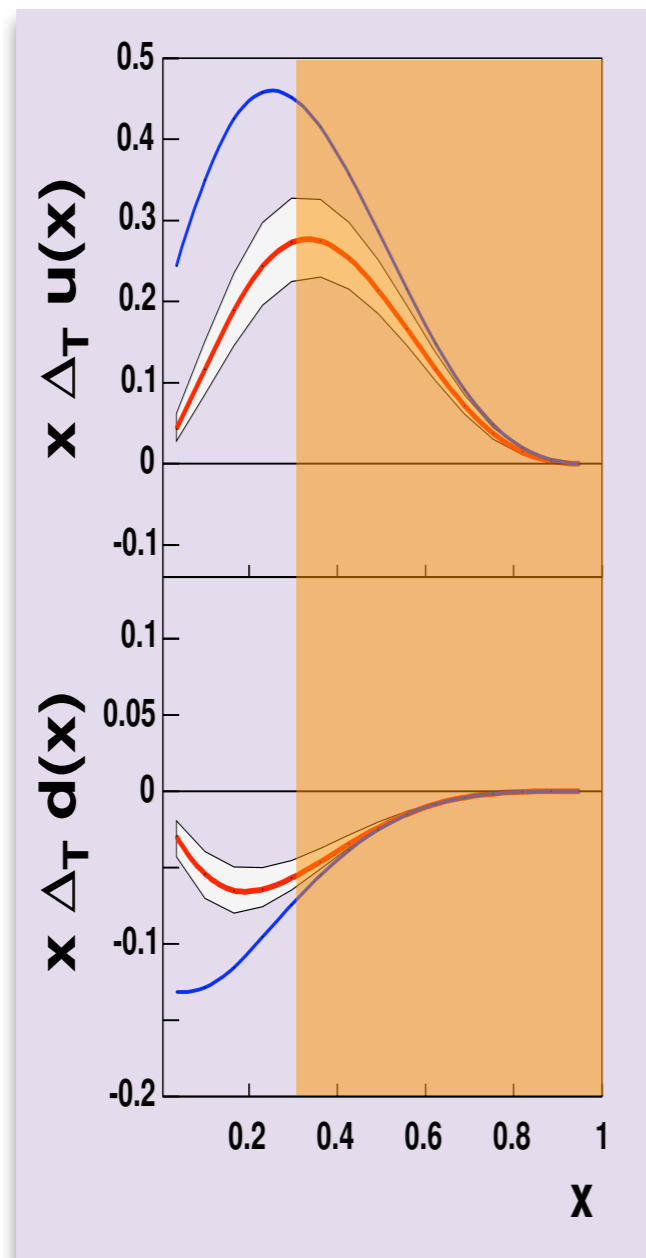


Figure 1: Left panel: the transversity distribution functions for u and d flavours as determined by our global fit; we also show the Soffer bound (highest or lowest lines) and the (wider) bands of our previous extraction [3]. Right panel: favoured and unfavoured Collins fragmentation functions as determined by our global fit; we also show the positivity bound and the (wider) bands as obtained in Ref. [3].

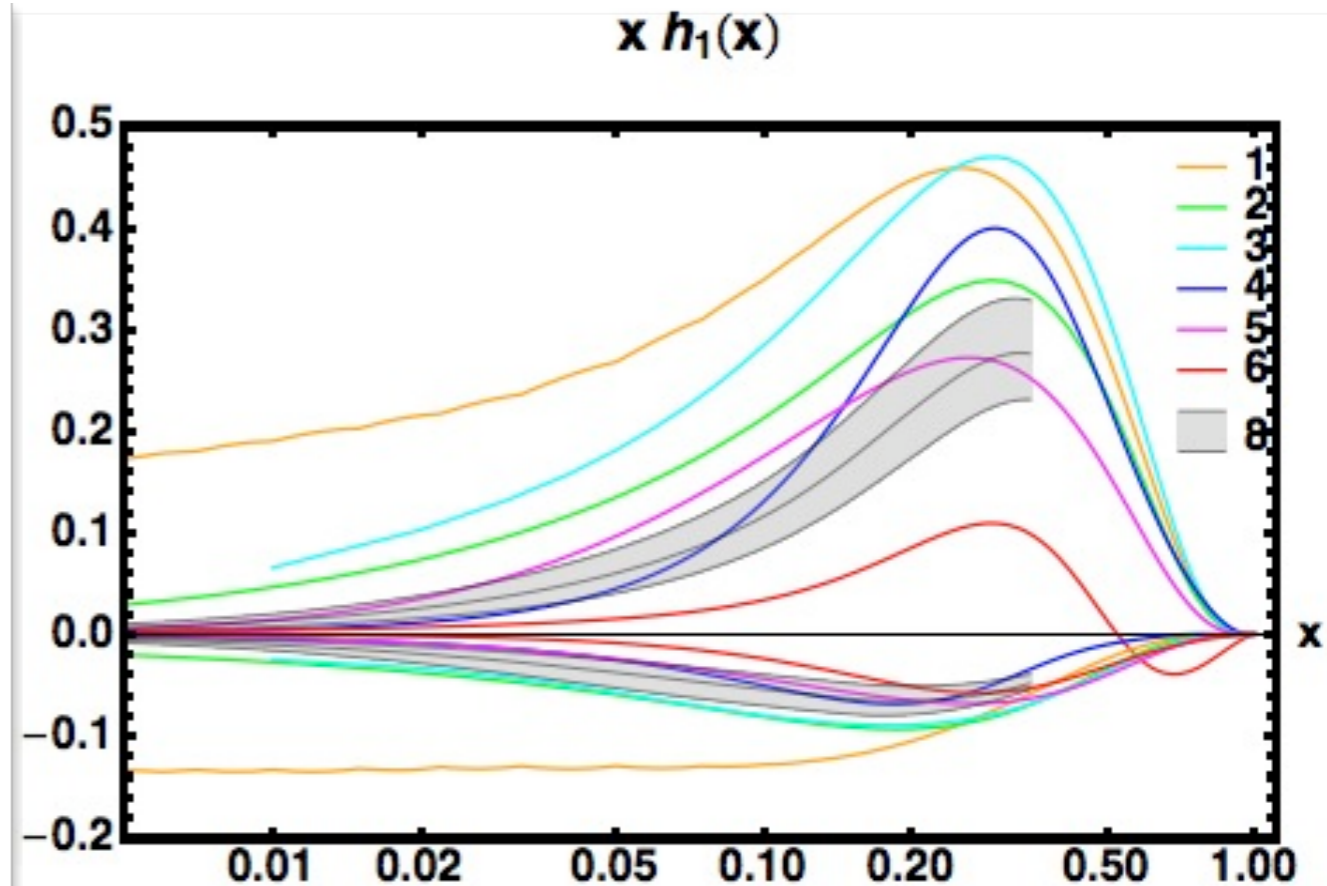
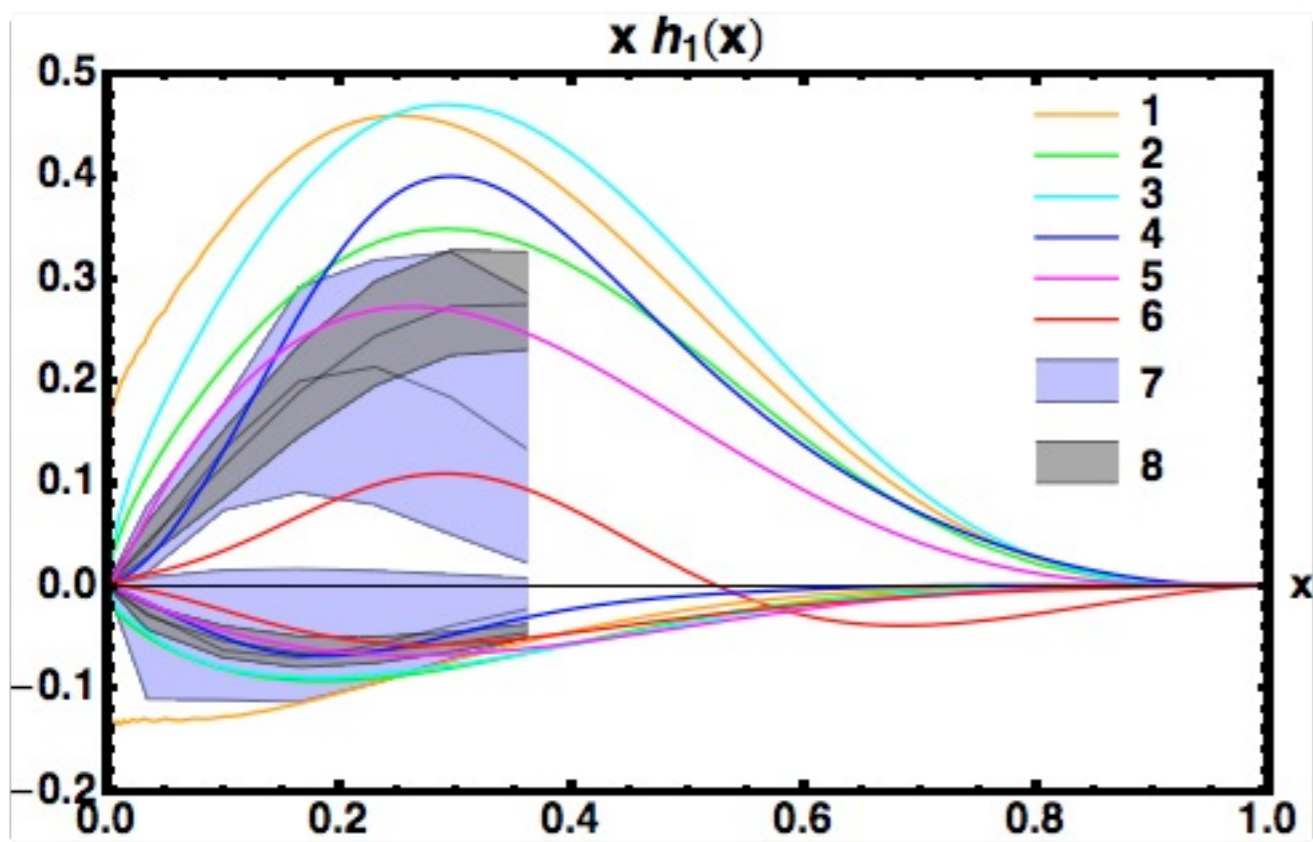
Transversity



- Data from HERMES, COMPASS, BELLE
- 96 data points (some correlations -- cf. 467 points for Δq fits)
- no sys errors taken into account
- $\chi^2 \approx 1.4$
- Statistical uncertainty only ($\Delta\chi^2 \approx 17$)

A. Prokudin, talk at DIS08 (extraction by Anselmino et al.)

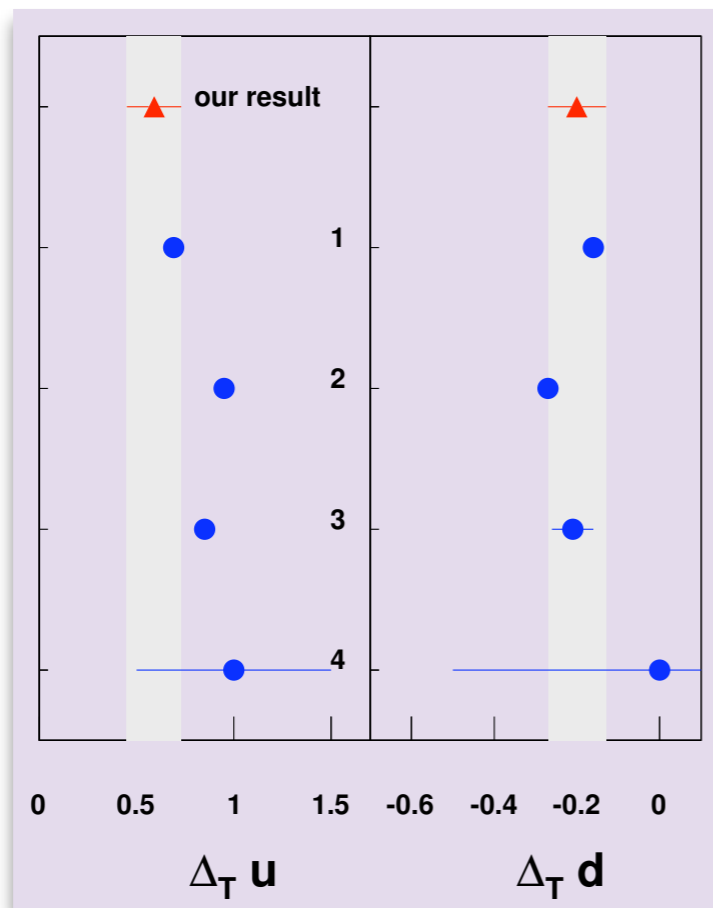
Comparison with models



- [1] Soffer et al. PRD 65 (02)
- [2] Korotkov et al. EPJC 18 (01)
- [3] Schweitzer et al., PRD 64 (01)
- [4] Wakamatsu, PLB 509 (01)

- [5] Pasquini et al., PRD 72 (05)
- [6] Bacchetta, Conti, Radici, PRD 78 (08)
- [7] Anselmino et al., PRD 75 (07)
- [8] Anselmino et al., arXiv:0807.0173

Tensor charge



[our result] Anselmino et al. DIS 08

[1] Diquark spectator model,
Cloet, Bentz, Thomas, PLB 659 (08)

[2] Chiral quark soliton model,
Wakamatsu, PLB 653 (07)

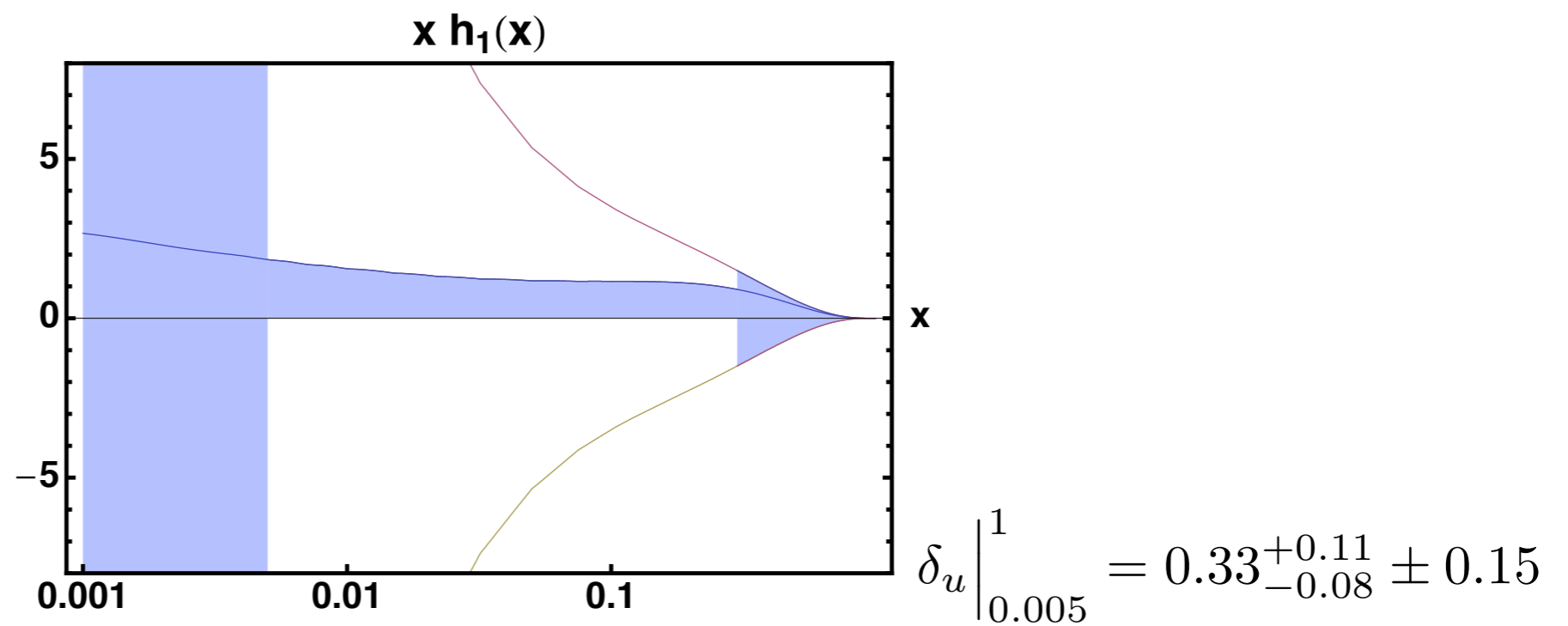
[3] Lattice QCD,
Goekeler et al. PLB 627 (05)

[4] QCD sum rules,
He, Ji, PRD 52 (95)

The first x -moments of the transversity distribution – related to the tensor charge, and defined as $\Delta_T q \equiv \int_0^1 dx \Delta_T q(x)$ – are found to be $\Delta_T u = 0.59_{-0.13}^{+0.14}$, $\Delta_T d = -0.20_{-0.07}^{+0.05}$ at $Q^2 = 0.8 \text{ GeV}^2$.

Anselmino et al., arXiv:0807.0173

Tensor charge: extremes



$$\delta_u \Big|_{0.005}^{0.3} = 0.33_{-0.08}^{+0.11}$$

$$\delta_u \Big|_{0.001}^1 = 0.33_{-0.08}^{+0.11} (\text{stat}) \pm 0.15 (\text{sys, high } x) \pm 0.14 (\text{sys, low } x)$$

$$\delta_d \Big|_{0.001}^1 = -0.14_{-0.06}^{+0.04} (\text{stat}) \pm 0.02 (\text{sys, high } x) \pm 0.12 (\text{sys, low } x)$$

Collins asymmetry, b space analysis

D. Boer, NPB 806 (08)

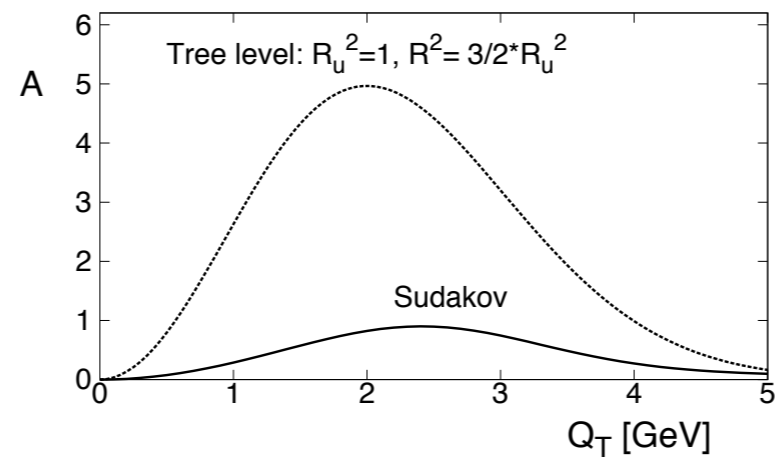


FIG. 6: The asymmetry factor $\mathcal{A}(Q_T)$ at $Q = 10$ GeV (solid curve) and the tree level quantity $\mathcal{A}^{(0)}(Q_T)$ using $R_u^2 = 1 \text{ GeV}^{-2}$ and $R^2/R_u^2 = 3/2$. Both factors are given in units of M^2 .

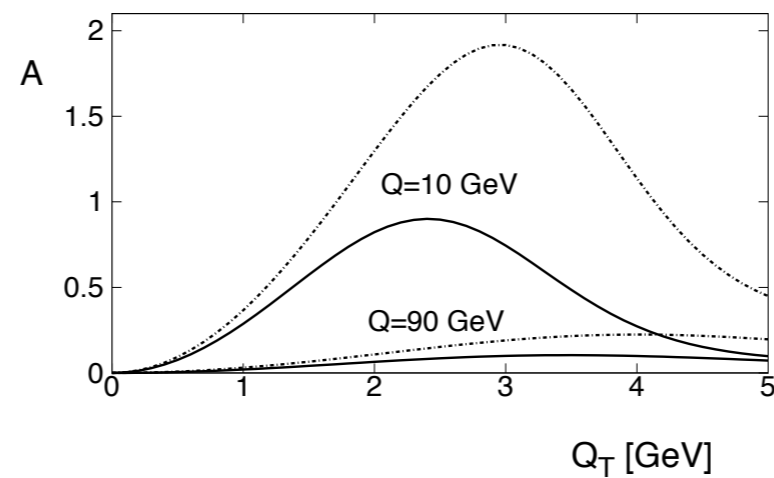


FIG. 5: The asymmetry factor $\mathcal{A}(Q_T)$ (in units of M^2) at $Q = 10$ GeV and $Q = 90$ GeV. The solid curves are obtained with the method explained in the text; the dashed-dotted curves are from the earlier analysis of Ref. [64].

$\cos 2\varphi$

TMD convolution (low transverse momentum)

$$F_{UU}^{\cos 2\phi_h} = \mathcal{C} \left[-\frac{2 (\hat{\mathbf{h}} \cdot \mathbf{k}_T) (\hat{\mathbf{h}} \cdot \mathbf{p}_T) - \mathbf{k}_T \cdot \mathbf{p}_T}{MM_h} h_1^\perp H_1^\perp \right],$$

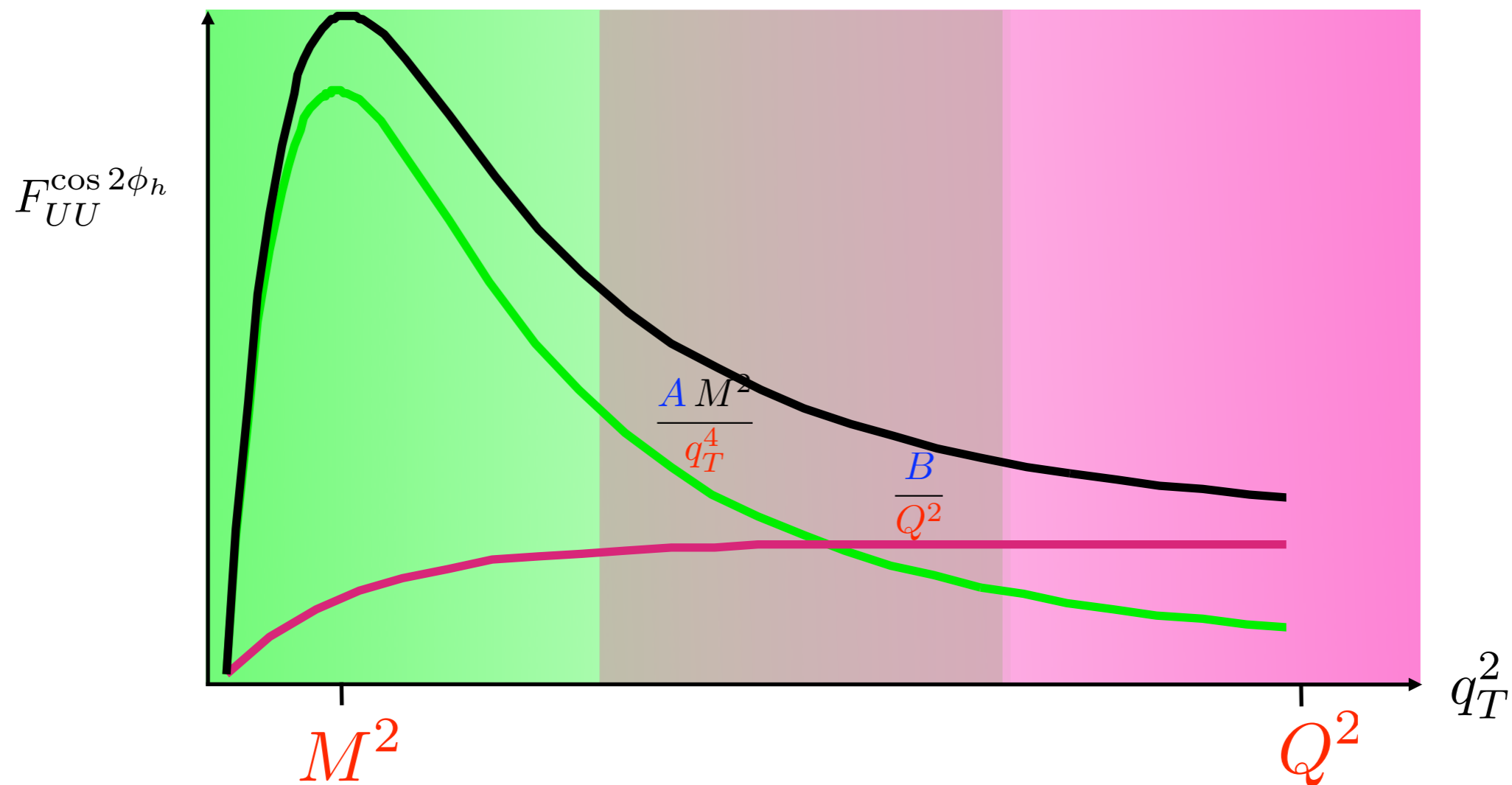
Boer-Mulders function

Collins function

$$h_1^\perp = \begin{array}{c} \text{red circle with right arrow} \\ \text{blue arrow pointing down} \end{array} - \begin{array}{c} \text{red circle with right arrow} \\ \text{blue arrow pointing up} \end{array}$$

Expected mismatch at high trans. momentum

The leading terms in the two expansions
CANNOT and MUST not match!



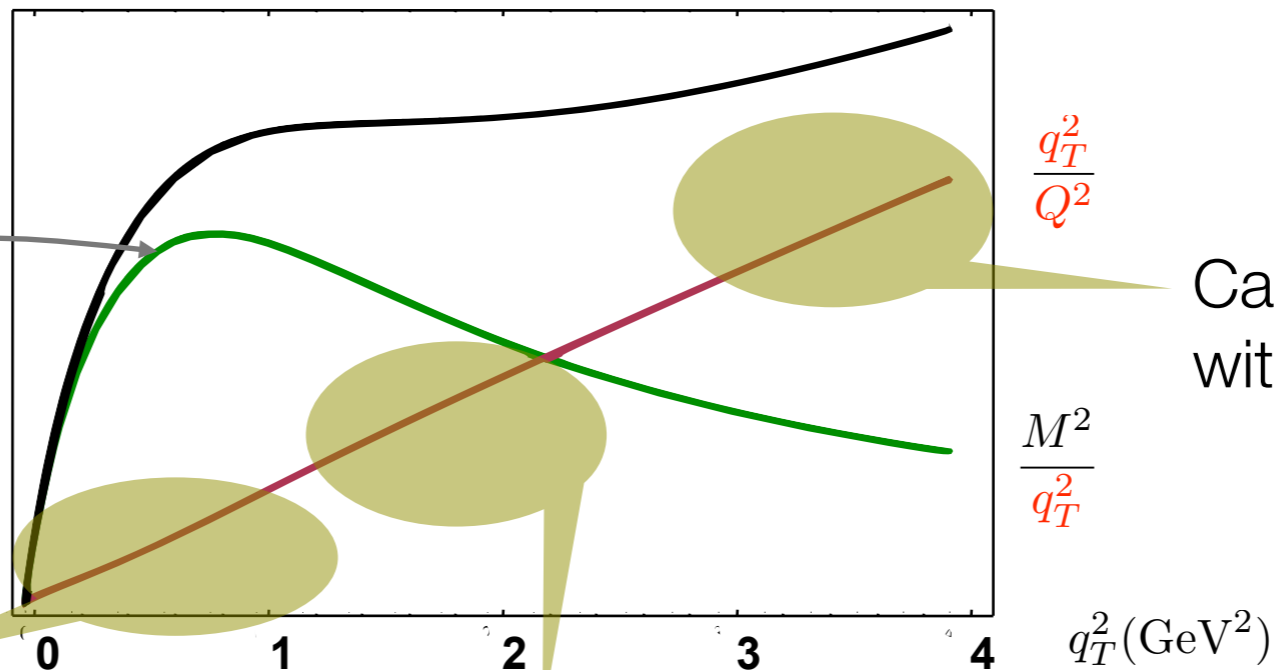
Two distinct mechanisms are involved

Cos 2φ asymmetry

$\langle \cos 2\phi_h \rangle$

Boer-Mulders effect

Nonperturbative twist-4
no factorization, only models
(Cahn twist-4?)



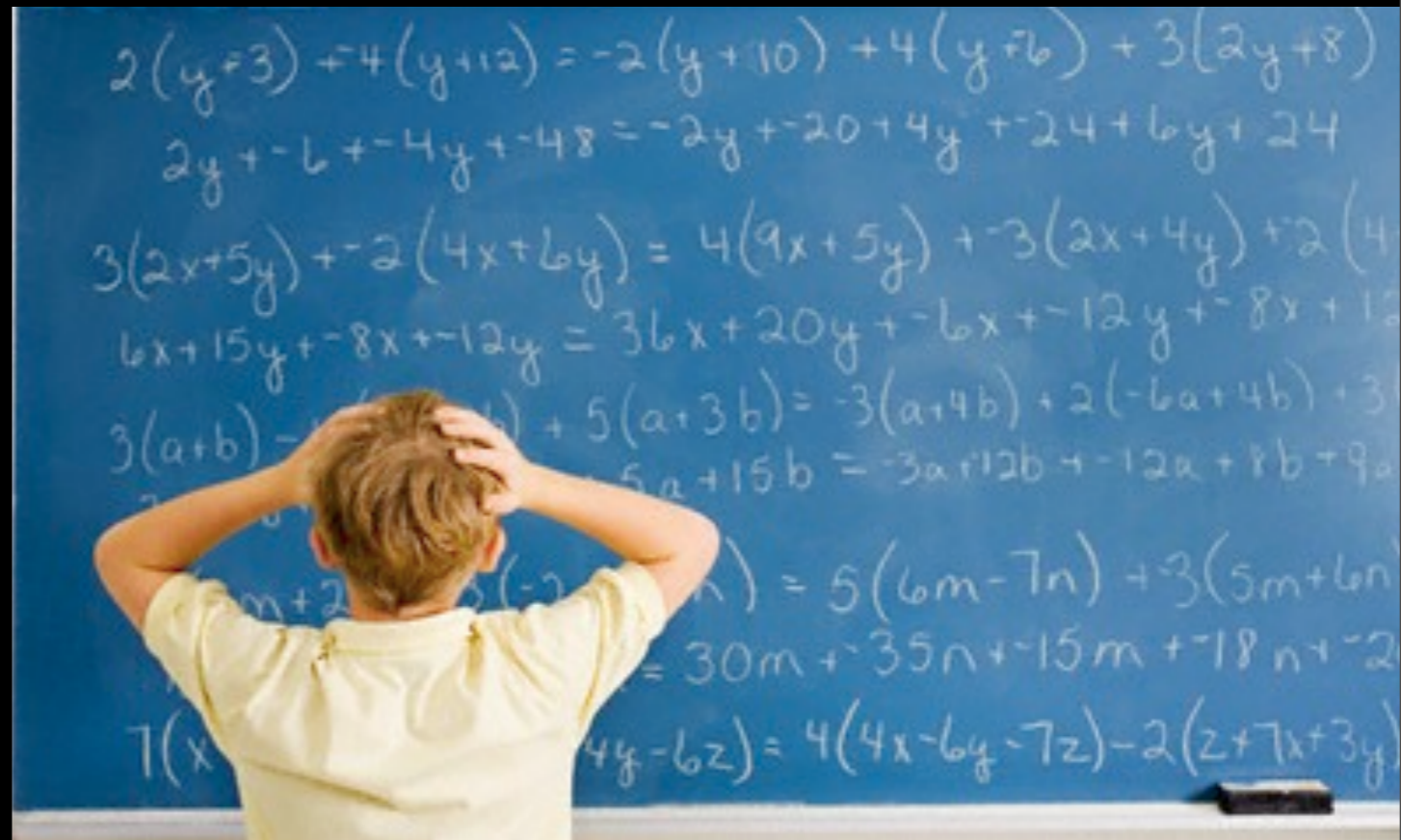
Can be calculated
with pQCD

needs resummation, not simply extend pQCD
see also Berger, Qiu, Rodriguez-Pedraza, PRD76 (07)

Similarly for Drell-Yan Boer-Mulders measurement
and Belle Collins measurement

Complications of a full-fledged analysis

- Isolate Boer-Mulders effect
- Take into account convolutions (usually Gaussian)
- Take properly into account experimental errors
- Take into account Sudakov form factors (probably working in b space)



Nevertheless...

Boer-Mulders extraction (?) in pD scattering

Zhang, Lu, Ma, Schmidt, PRD77 (08)

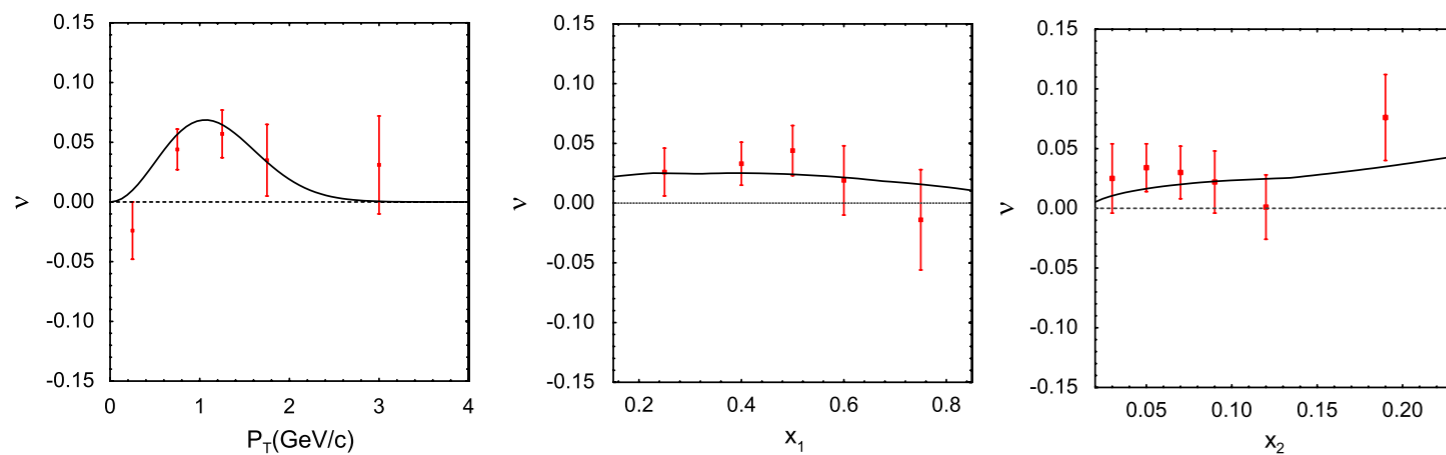


FIG. 1 (color online). Fits to the p_T , x_1 , x_2 -dependent $\cos 2\phi$ asymmetries ν_{pD} for Drell-Yan processes. Data are from the FNAL E866/NuSea collaboration.

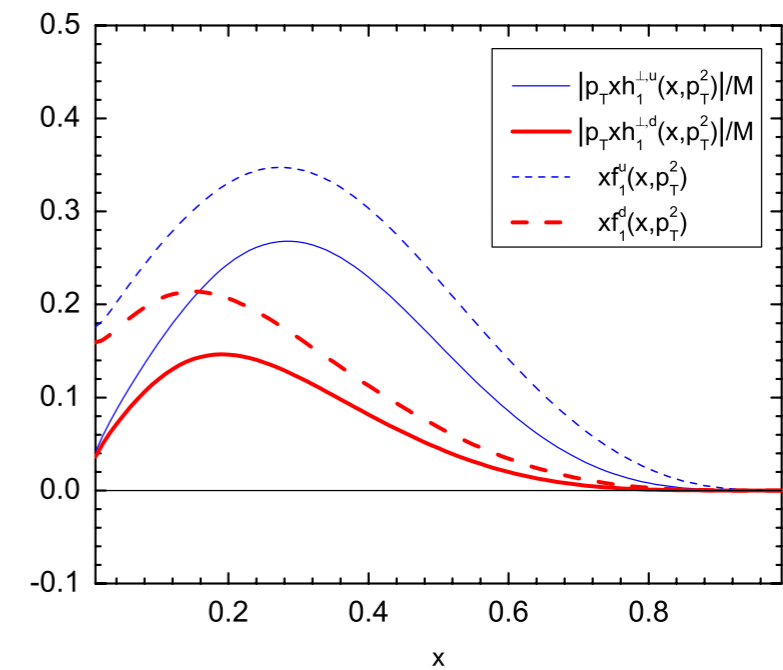


FIG. 2 (color online). Comparison of $|p_T x h_1^\perp(x, \mathbf{p}_T^2)|/M$ and $x f_1(x, \mathbf{p}_T^2)$ for u and d quarks at $p_T = 0.45$ GeV and $Q = 1$ GeV. Here f_1 is a combination of valence and sea quark distributions.

- modulo overall factor
- no errors

A different study

$$h_1^{\perp u} = 1.80 f_{1T}^{\perp u}, \quad h_1^{\perp d} = -0.94 f_{1T}^{\perp d}$$

Barone, Prokudin, Ma, PRD78 (08)

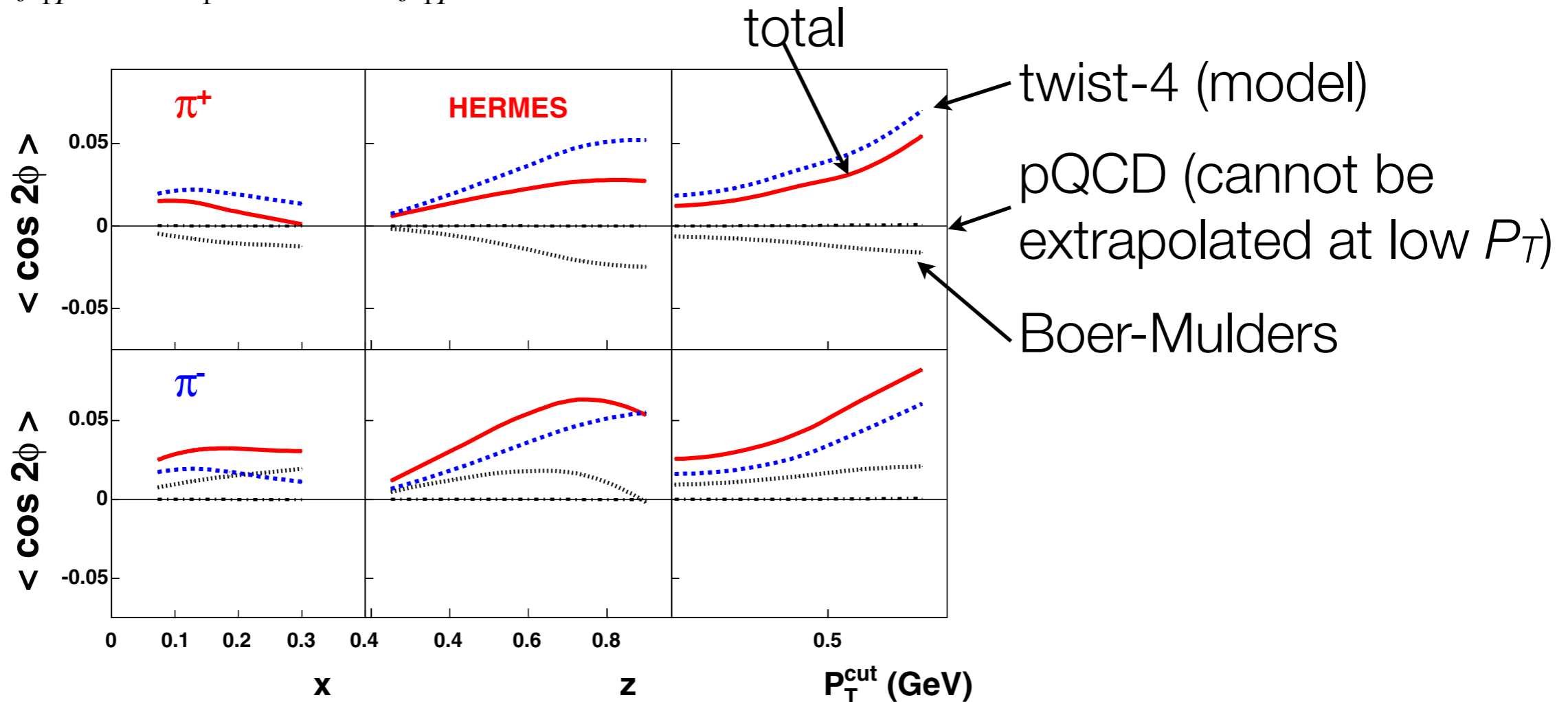


FIG. 5 (color online). Our prediction for the $\cos 2\phi$ asymmetry at HERMES. The dot-dashed line is the $\mathcal{O}(\alpha_s)$ QCD contribution, the dotted line is the Boer-Mulder contribution, the dashed line is the higher-twist Cahn contribution. The continuous line is the resulting asymmetry taking all contributions into account.

Ways out

- Perturbative corrections are flavor independent: use proper ratios or difference to cancel them
 - unlikely that it works for nonperturbative twist-4
- Exploit the relation, analogous to Lam-Tung in Drell-Yan

$$F_{UU}^{\cos 2\phi_h}_{\text{pert.}} = 2F_{UU,L}_{\text{pert.}}$$

- may work also for nonperturbative twist-4

Cos φ

Convolution

$$F_{UU}^{\cos \phi_h} = \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left(xh H_1^\perp + \frac{M_h}{M} f_1 \frac{\tilde{D}^\perp}{z} \right) - \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left(x f^\perp D_1 + \frac{M_h}{M} h_1^\perp \frac{\tilde{H}}{z} \right) \right]$$

$$x f^\perp = x \tilde{f}^\perp + f_1$$

$$xh = x\tilde{h} + \frac{p_T^2}{M^2} h_1^\perp.$$

pure twist-3 part

twist-2 part

No reason to believe that twist-3 part is small!
(see Wandzura-Wilzcek breaking)

Nevertheless...

Neglecting pure twist-3 and also T-odd...

$$F_{UU}^{\cos \phi_h} \approx \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} f_1 D_1 \right].$$

see Cahn, PLB78 (89)

Anselmino et al., PRD71 (05)

What do we know about the different pieces?

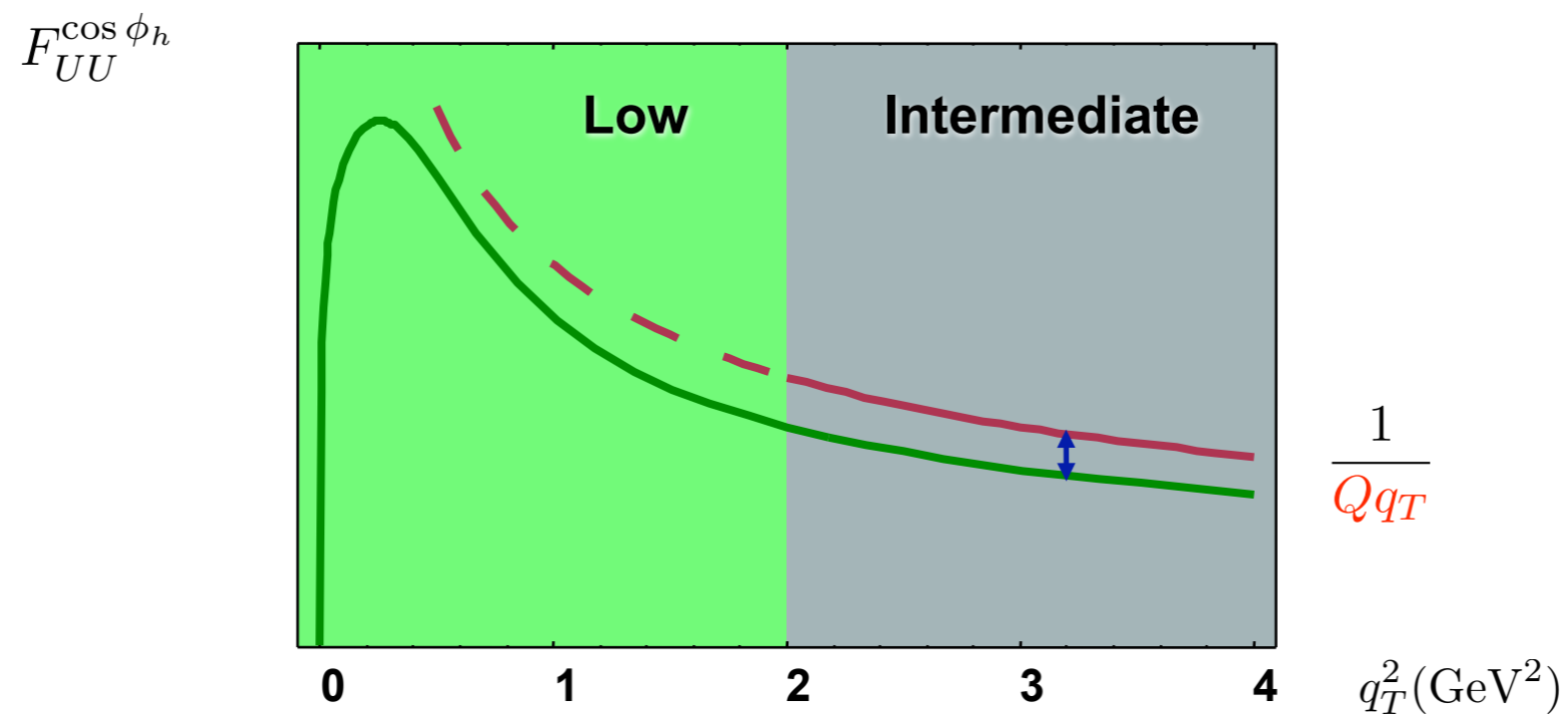
$$F_{UU}^{\cos \phi_h} = \frac{2M}{Q} \mathcal{C} \left[-\frac{\hat{\mathbf{h}} \cdot \mathbf{k}_T}{M_h} \left(xh H_1^\perp + \frac{M_h}{M} f_1 \frac{\tilde{D}^\perp}{z} \right) - \frac{\hat{\mathbf{h}} \cdot \mathbf{p}_T}{M} \left(x f^\perp D_1 + \frac{M_h}{M} h_1^\perp \frac{\tilde{H}}{z} \right) \right]$$

- vanishes if integrated over x
- peculiar behavior of Collins function

- vanishes if integrated over z

- dominant at high transverse momentum
- we know f_1 and D_1 pretty well

Unexpected mismatch



Unexpected mismatch: same power behavior, but they don't match
Problems with the formalism at low transverse momentum!

Conclusion on unp. azimuthal modulations

- They are a bit messy
- More difficult at the moment to extract information
- Teach us to be aware of complications

“Education is what remains after one has forgotten everything he learned”

one of Anatoly's favorite quotes

Thank you!