

## light-cone (LC) variables

4-vector  $a^\mu$        $a^\pm = \frac{1}{\sqrt{2}} (a^0 \pm a^3)$  ,     $\mathbf{a}_\perp = (a^1, a^2)$   
 $a^\mu = (a^0, a^1, a^2, a^3) = (a^+, a^-, \mathbf{a}_\perp)$

scalar product       $a \cdot b = a^+ b^- + a^- b^+ - \mathbf{a}_\perp \cdot \mathbf{b}_\perp$   
 $a^2 = 2a^+ a^- - \mathbf{a}_\perp^2$

metric       $g^{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}_{(0,1,2,3)} \rightarrow \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}_{(+,-,1,2)}$

LC “basis”:

$n_+^\mu = (1, 0, \mathbf{0}_\perp)$  ,     $n_-^\mu = (0, 1, \mathbf{0}_\perp)$  ;     $n_\pm^2 = 0$  ,     $n_+ \cdot n_- = 1$

$a^\pm = a \cdot n_\mp \rightarrow a^\mu = (a \cdot n_-) n_+^\mu + (a \cdot n_+) n_-^\mu + \mathbf{a}_\perp$

“transverse” metric       $g_\perp^{\mu\nu} = g^{\mu\nu} - n_+^\mu n_-^\nu - n_+^\nu n_-^\mu = g^{\mu\nu} - n_+^{\{\mu} n_-^{\nu\}}$

$= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}_{(+,-,1,2)}$

hadron target at rest

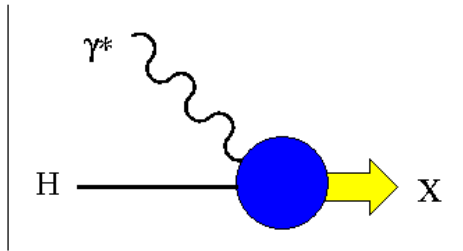
$$P^\mu \stackrel{\text{rest frame}}{=} (M, 0, 0, 0) = \frac{1}{\sqrt{2}} (M, M, \mathbf{0}_\perp)$$

inclusive DIS

target absorbs momentum from  $\gamma^*$ ; for example,

if  $\mathbf{q} \parallel z$   $P_z=0 \rightarrow P'_z = q \gg M$  in DIS regime

$$P'^\mu = (\sqrt{M^2 + P_z'^2}, 0, 0, P_z') \xrightarrow{Q^2 \rightarrow \infty} (P_z', 0, 0, P_z') \\ = (\sqrt{2} P_z', 0, 0, P_z')$$



DIS regime  $\Rightarrow$  direction “+” dominant  
direction “-” suppressed

boost of 4-vector  $a^\mu \rightarrow a'^\mu$  along z axis

$$a'^0 = \frac{a^0 + \beta a^3}{\sqrt{1 - \beta^2}} \quad a'^3 = \frac{\beta a^0 + a^3}{\sqrt{1 - \beta^2}} \quad a'_\perp = a_\perp$$

$$a'^+ = \frac{1}{\sqrt{2}} \frac{(1 + \beta)(a^0 + a^3)}{\sqrt{1 - \beta^2}} = a^+ \sqrt{\frac{1 + \beta}{1 - \beta}} = a^+ e^\psi$$

$$a'^- = a^- \sqrt{\frac{1 - \beta}{1 + \beta}} = a^- e^{-\psi}$$

N.B. rapidity

$$\psi = \frac{1}{2} \log \left( \frac{1 + \beta}{1 - \beta} \right) \Rightarrow \beta = \tanh \psi$$

$$P^\mu = \frac{1}{\sqrt{2}} (M, M, \mathbf{0}_\perp)$$

boost along  
z axis

$$P'^\mu = \frac{1}{\sqrt{2}} \left( A, \frac{M^2}{A}, \mathbf{0}_\perp \right)$$

$A = M \rightarrow$  hadron rest frame

$A = Q \rightarrow$  Infinite **M**omentum **F**rame (IFM)

$$P^\mu = \frac{1}{\sqrt{2}} \left( A, \frac{M^2}{A}, \mathbf{0}_\perp \right)$$

$A = M \rightarrow$  hadron rest frame

$A = Q \rightarrow$  Infinite **M**omentum **F**rame (IFM)

LC kinematics  $\Leftrightarrow$  boost to IFM

$$p^\mu = \frac{1}{\sqrt{2}} \left( xA, \frac{p^2 + \mathbf{p}_\perp^2}{xA}, \mathbf{p}_\perp \right) \quad \text{definition :} \quad x = \frac{p^+}{P^+}$$

$$p^2 = 2p^+p^- - \mathbf{p}_\perp^2$$

fraction of LC (“longitudinal”) momentum

in QPM  $x \sim x_B$

$$q^\mu = \frac{1}{\sqrt{2}} \left( -x_N A, \frac{Q^2}{x_N A}, \mathbf{0}_\perp \right)$$

it turns out  $x_N \sim x_B + o(M/Q)$   
LC components not suppressed

$$q^2 = 2q^+q^- - \mathbf{q}_\perp^2 = -Q^2$$

# Quantum Field Theory on the light-cone

rules

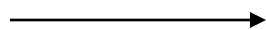
at time  $x^0=t=0$   
evolution in  $x^0$



Rules

at “light-cone” time  $x^+=0$   
evolution in  $x^+$

variables  $\mathbf{x}$



$x^-$ ,  $\mathbf{x}_\perp$

conjugated momenta  $\mathbf{k}$



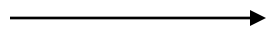
$k^+$ ,  $\mathbf{k}_\perp$

Hamiltonian  $k^0$



$k^-$

field quantum



$$\psi(x) = \int \frac{d\mathbf{k}}{(2\pi)^3 2k^0} b_\alpha(\mathbf{k}) u(\mathbf{k}) e^{-ik \cdot x} + d_\alpha^\dagger(\mathbf{k}) v(\mathbf{k}) e^{ik \cdot x}$$

$$\psi_+(x) = \int \frac{dk^+ d\mathbf{k}_\perp}{(2\pi)^3 k^+} b_\alpha(\mathbf{k}) u_+(\mathbf{k}) e^{-ik \cdot x} + d_\alpha^\dagger(\mathbf{k}) v_+(\mathbf{k}) e^{ik \cdot x}$$

$$[b_\alpha(\mathbf{k}), b_{\alpha'}^\dagger(\mathbf{k}')] = (2\pi)^3 2k^0 \delta(\mathbf{k} - \mathbf{k}') \delta_{\alpha\alpha'}$$

$$[b_\alpha(\mathbf{k}), b_{\alpha'}^\dagger(\mathbf{k}')] = (2\pi)^3 k^- \delta(k^+ - k'^+) \delta(\mathbf{k}_\perp - \mathbf{k}'_\perp) \delta_{\alpha\alpha'}$$

.....

Fock space

$$b^\dagger|0\rangle \rightarrow q \quad d^\dagger|0\rangle \rightarrow \bar{q}$$

.....

# Dirac algebra on the light-cone

usual representation of Dirac matrices

$$\gamma^0 = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{pmatrix} \quad \gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} \quad \gamma_5 = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}$$



so (anti-)particles have only upper (lower) components in Dirac spinor

new representation in light-cone field theory

$$\gamma^0 = \begin{pmatrix} 0 & \sigma_3 \\ \sigma_3 & 0 \end{pmatrix} \quad \gamma^3 = \begin{pmatrix} 0 & -\sigma_3 \\ \sigma_3 & 0 \end{pmatrix} \quad \gamma_{\perp} = \begin{pmatrix} i\sigma_{\perp} & 0 \\ 0 & i\sigma_{\perp} \end{pmatrix} \quad \gamma_5 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & -\sigma_3 \end{pmatrix}$$

$$\{\gamma^{\mu}, \gamma^{\nu}\} = 2g^{\mu\nu} \quad \gamma_5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3 \quad \text{ok}$$

definitions :  $\gamma^{\pm} = \frac{1}{\sqrt{2}}(\gamma^0 \pm \gamma^3)$        $P_{\pm} = \frac{1}{2}\gamma^{\mp}\gamma^{\pm}$



projectors  $(P_{\pm})^2 = P_{\pm}$  ;  $P_+ P_- = P_- P_+$  ,  $P_+ + P_- = \mathbf{1}$

$$P_+ = \begin{pmatrix} \mathbf{1} & 0 \\ 0 & 0 \end{pmatrix} , P_- = \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{1} \end{pmatrix} \quad |\psi\rangle = \begin{vmatrix} \phi \\ \chi \end{vmatrix} , \quad P_+ |\psi\rangle = \phi , P_- |\psi\rangle = \chi$$

project Dirac eq.

$$P_{\pm} (i\gamma \cdot D + m) \begin{vmatrix} \phi \\ \chi \end{vmatrix} = 0 \quad D_{\pm} = \partial_{\pm} - igA^{\mp}$$



$$\begin{cases} i\gamma^- D_- \chi = -i\gamma_{\perp} \mathbf{D}_{\perp} \phi - m\phi \\ i\gamma^+ D_+ \phi = -i\gamma_{\perp} \mathbf{D}_{\perp} \chi - m\chi \end{cases} \leftarrow \text{does not contain "time" } x^+ :$$

$\chi$  depends from  $\phi$  and  $\mathbf{A}_{\perp}$  at fixed  $x^+$   
 $\phi, \mathbf{A}_{\perp}$  independent degrees of freedom

$$|\psi\rangle = \begin{vmatrix} \phi \\ \chi \end{vmatrix} \begin{matrix} \leftarrow \text{"good"} \\ \leftarrow \text{"bad"} \end{matrix} \text{ light-cone components } \begin{matrix} P_+ |\psi\rangle \\ P_- |\psi\rangle \end{matrix}$$

component "good"  $\rightarrow$  independent and leading

component "bad"  $\rightarrow$  dependent from interaction (quark-gluon)  
 and therefore at higher order

# quark polarization

generator of spin rotations around z  $\Sigma^3 = \frac{i}{2} [\gamma^1, \gamma^2] = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$

if momentum  $k \parallel z$ , it gives helicity

$\gamma^1, \gamma^2, \gamma_5$  commute with  $P_{\pm} \rightarrow 2$  possible choices :

- diagonalize  $\gamma_5$  and  $\Sigma^3 \rightarrow$  helicity basis
- diagonalize  $\gamma^1$  (or  $\gamma^2$ )  $\rightarrow$  “transversity” basis

N.B. in helicity basis  $\gamma_5 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & -\sigma_3 \end{pmatrix}$   $\Sigma^3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$



helicity = chirality for component “good”  $\phi$   
helicity = - chirality for component “bad”  $\chi$

N.B. projector for transverse polarization  $P_{\uparrow/\downarrow} = \frac{1}{2} (1 \mp \gamma_5 \gamma^1)$   $[P_{\uparrow/\downarrow}, P_{\pm}] = 0$

we define  $P_{\uparrow/\downarrow} \phi = \phi_{\perp/\top} = f(\phi_{\pm})$

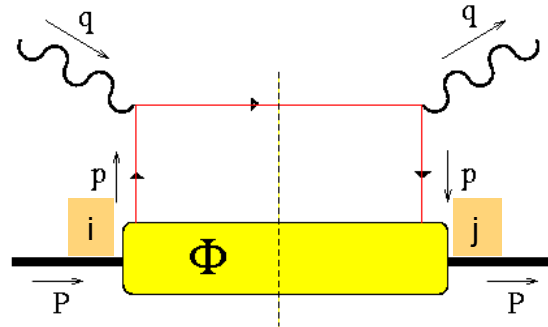
# go back to OPE for inclusive DIS

$$W_{\mu\nu} \propto \int d^4x e^{iq \cdot x} \langle P | [J_\mu(x), J_\nu(0)] | P \rangle \quad \text{quark current} \quad J^\mu \sim \sum_f e_f^2 \bar{\psi}_f \gamma^\mu \psi_f$$

$$2MW^{\mu\nu} \sim \sum_f e_f^2 \int d^4p \delta((p+q)^2 - m^2) \theta(p^0 + q^0 - m) \\ \text{Tr} \left[ \underset{ij}{\Phi(p, P)} \underset{im}{\gamma^\mu} (\not{p} + \not{q} + m) \underset{mr}{\gamma^\nu} \underset{nj}{\bar{\Phi}(p, P)} \right]$$

$$\underset{ij}{\Phi(p, P)} = \int \frac{d^4\xi}{(2\pi)^4} e^{-ip \cdot \xi} \langle P | \bar{\psi}_f(\xi) \psi_f(0) | P \rangle \\ = \int \frac{dP_X}{(2\pi)^3 2P_X^0} \langle P | \bar{\psi}_f(0) | P_X \rangle \langle P_X | \psi_f(0) | P \rangle \delta(P - p - P_X)$$

bilocal operator,  
contains twist  $\geq 2$



IFM ( $Q^2 \rightarrow \infty$ )  $\Rightarrow$  isolate leading contribution in  $1/Q$   
equivalently calculate  $\Phi$  on the Light-Cone (LC)



# IFM: leading contribution

$$\left\{ \begin{array}{l} P^\mu = \frac{1}{\sqrt{2}} \left( A, \frac{M^2}{A}, \mathbf{0}_\perp \right) \xrightarrow{A=Q} P^\mu \sim \left( Q, \frac{1}{Q}, \mathbf{0}_\perp \right) \xrightarrow{Q^2 \rightarrow \infty} (Q, 0, \mathbf{0}_\perp) \\ p^\mu = \frac{1}{\sqrt{2}} \left( xA, \frac{p^2 + \mathbf{p}_\perp^2}{xA}, \mathbf{p}_\perp \right) \xrightarrow{A=Q} \frac{1}{\sqrt{2}} \left( xQ, \frac{p^2 + \mathbf{p}_\perp^2}{xQ}, \mathbf{p}_\perp \right) \sim (Q, 0, \mathbf{0}_\perp) \\ q^\mu = \frac{1}{\sqrt{2}} \left( -x_N A, \frac{Q^2}{x_N A}, \mathbf{0}_\perp \right) \xrightarrow{A=Q} \frac{1}{\sqrt{2}} \left( -x_N Q, \frac{Q}{x_N}, \mathbf{0}_\perp \right) \end{array} \right.$$

N.B.  $p^+ \sim Q \rightarrow (p+q)^- \sim Q$

$$\begin{aligned} 2MW^{\mu\nu} &= \sum_f e_f^2 \int d^4p \delta((p+q)^2 - m^2) \theta(p^0 + q^0 - m) \\ &\quad \times \text{Tr} [\Phi(p, P) \gamma^\mu (\gamma \cdot p + \gamma \cdot q + m) \gamma^\nu] \\ &\sim \frac{1}{2} \sum_f e_f^2 \int dp^- d\mathbf{p}_\perp \text{Tr} [\Phi(p, P) \gamma^\mu \gamma^+ \gamma^\nu] \Big|_{p^+ = xP^+} \end{aligned}$$



$$\delta(p^+ + q^+) = \delta(xP^+ - x_N P^+) \rightarrow x \sim x_N \sim x_B$$

(analogously for antiquark)

(cont'ed)

- decomposition of Dirac matrix  $\Phi(p,P,S)$  on basis of Dirac structures with 4-(pseudo)vectors  $p,P,S$  compatible with Hermiticity and parity invariance

$$\Phi(p, P, S) = \gamma^0 \Phi^\dagger(p, P, S) \gamma^0$$

$$\Phi(p, P, S) = \gamma^0 \Phi(\tilde{p}, \tilde{P}, -\tilde{S}) \gamma^0$$

Dirac basis  $\mathbf{I}, i\gamma_5, \gamma^\mu, \gamma^\mu\gamma_5, \sigma^{\mu\nu}, i\sigma^{\mu\nu}\gamma_5$   $\tilde{a}^\mu = (a_0, -\mathbf{a})$

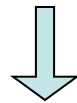
$$\begin{aligned} \Phi(p, P, S) = & A_1 M + A_2 \not{P} + A_3 \not{p} + A_4 \sigma_{\mu\nu} P^\mu p^\nu + iA_5 p \cdot S \gamma_5 + A_6 M \not{S} \gamma_5 \\ & + A_7 \frac{p \cdot S}{M} \not{P} \gamma_5 + A_8 \frac{p \cdot S}{M} \not{p} \gamma_5 + iA_9 \sigma_{\mu\nu} \gamma_5 S^\mu P^\nu + iA_{10} \sigma_{\mu\nu} \gamma_5 S^\mu p^\nu \\ & + iA_{11} \frac{p \cdot S}{M^2} \sigma_{\mu\nu} \gamma_5 P^\mu p^\nu + A_{12} \epsilon_{\mu\nu\rho\sigma} \frac{\gamma^\mu P^\nu p^\rho S^\sigma}{M} \end{aligned}$$



time-reversal  $\rightarrow 0$

$$\Phi^*(p, P, S) = -i\gamma^1 \gamma^3 \Phi(\tilde{p}, \tilde{P}, \tilde{S}) i\gamma^1 \gamma^3$$

$$\text{Tr} [\Phi(p, P) \gamma^\mu \gamma^+ \gamma^\nu] \Big|_{p^+=xP^+} = -4g_\perp^{\mu\nu} \underbrace{(A_2 + xA_3) P^+}_{\int dp^- d\mathbf{p}_\perp \dots \Big|_{p^+=xP^+} \rightarrow \mathbf{q}_f(\mathbf{x})}$$



similar for antiquark

$$2MW^{\mu\nu} \sim -g_\perp^{\mu\nu} \frac{1}{2} \sum_f e_f^2 [q_f(x) + \bar{q}_f(x)] + o\left(\frac{1}{Q}\right)$$

(cont'ed)

$$2MW^{\mu\nu} \sim -g_{\perp}^{\mu\nu} \underbrace{\frac{1}{2} \sum_f e_f^2 [q_f(x) + \bar{q}_f(x)]}_{F_1(x_B)} + o\left(\frac{1}{Q}\right)$$

$x \approx x_B$   $F_1(x_B) \rightarrow$  QPM result

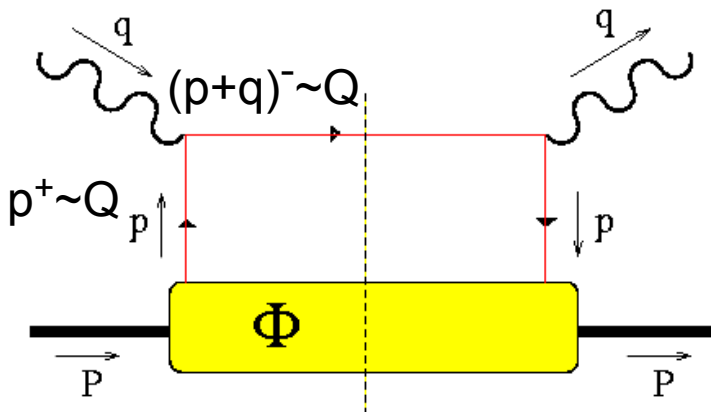
$$W^{\mu\nu} = \left(-g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2}\right) W_1 + \frac{\tilde{P}^\mu \tilde{P}^\nu}{M^2} W_2$$

$W_1$  response to transverse polarization of  $\gamma^*$   $\rightarrow -g_{\perp}^{\mu\nu} F_1$

**Summary :**

bilocal operator  $\Phi$  has twist  $\geq 2$  ; leading-twist contribution extracted in IFM selecting the dominant term in  $1/Q$  ( $Q^2 \rightarrow \infty$ ) ; equivalently, calculating  $\Phi$  on the LC

at leading twist (t=2) recover QPM result for unpolarized  $W^{\mu\nu}$  ; but what is the general result for t=2 ?



# Decomposition of $\Phi$ at leading twist

Dirac basis

$$\{\mathbf{1}, \gamma^\mu, \gamma^\mu \gamma_5, i\gamma_5, i\sigma^{\mu\nu} \gamma_5\}$$

$$\Phi(p, P, S) = \frac{1}{2} [S \mathbf{1} + V_\mu \gamma^\mu + A_\mu \gamma^\mu \gamma_5 + iP \gamma_5 + iT_{\mu\nu} \sigma^{\mu\nu} \gamma_5]$$

$$S = \frac{1}{2} \text{Tr}(\Phi) = C_1(p^2, p \cdot P)$$

$$V^\mu = \frac{1}{2} \text{Tr}(\gamma^\mu \Phi) = C_2 P^\mu + C_3 p^\mu$$

$$A^\mu = \frac{1}{2} \text{Tr}(\gamma^\mu \gamma_5 \Phi) = C_4 S^\mu + C_5 p \cdot S P^\mu + C_6 P \cdot S p^\mu$$

$$P_5 = \frac{1}{2i} \text{Tr}(\gamma_5 \Phi) = 0$$

$$T^{\mu\nu} = \frac{1}{2i} \text{Tr}(\sigma^{\mu\nu} \Phi) = C_7 P^{[\mu} S^{\nu]} + C_8 p^{[\mu} S^{\nu]} + C_9 p \cdot S P^{[\mu} p^{\nu]}$$



$$\text{Tr} [\gamma^+ \dots] \rightarrow q_f(x) = \Phi[\gamma^+] = \int \frac{d\xi^-}{2\pi} e^{-ixP^+\xi^-} \langle P | \bar{\psi}_f(\xi^-) \gamma^+ \psi_f(0) | P \rangle$$

$$\text{Tr} [\gamma^+ \gamma_5 \dots] \rightarrow \Delta q_f(x) = \Phi[\gamma^+ \gamma_5] = \int \frac{d\xi^-}{2\pi} e^{-ixP^+\xi^-} \langle P | \bar{\psi}_f(\xi^-) \gamma^+ \gamma_5 \psi_f(0) | P \rangle$$

$$\text{Tr} [\gamma^+ \gamma^i \gamma_5 \dots] \rightarrow \delta q_f(x) = \Phi[i\sigma^{i+} \gamma_5] = \int \frac{d\xi^-}{2\pi} e^{-ixP^+\xi^-} \langle P | \bar{\psi}_f(\xi^-) i\sigma^{i+} \gamma_5 \psi_f(0) | P \rangle$$

# Trace of bilocal operator $\rightarrow$ partonic density

$$\begin{aligned}\Phi^{[\gamma^+]}(x) &= \int dp^- d\mathbf{p}_\perp \text{Tr} [\Phi(p, P) \gamma^+] \Big|_{p^+=xP^+} \\ &= \sqrt{2} \sum_n |\langle n | \phi_f(0) | P \rangle|^2 \delta(P^+ - xP^+ - P_n^+) \equiv q_f(x)\end{aligned}$$



LC “good” components

probability density  
of annihilating in  $|P\rangle$   
a quark with momentum  $xP^+$

similarly for antiquark

$$\begin{aligned}\Phi^{[\gamma^+]}(x) + \bar{\Phi}^{[\gamma^+]}(x) &= \int dp^- d\mathbf{p}_\perp \text{Tr} [\Phi(p, P, S) \gamma^+ - \bar{\Phi}(p, P, S) \gamma^+] \Big|_{p^+=xP^+} \\ &= q_f(x) + \bar{q}_f(x)\end{aligned}$$

= probability of finding a (anti)quark with flavor  $f$  and fraction  $x$  of longitudinal (light-cone) momentum  $P^+$  of hadron

in general : 
$$\Phi^{[\Gamma]}(x, S) = \int dp^- d\mathbf{p}_\perp \text{Tr} [\Phi(p, P, S) \Gamma] \Big|_{p^+ = xP^+}$$

leading-twist projections  
(involve “good”  
components of  $\phi$  )

$$\begin{aligned} \Phi^{[\gamma^+]}(x, S) &= q(x) \\ \Phi^{[\gamma^+ \gamma_5]}(x, S) &= \lambda \Delta q(x) \\ \Phi^{[i\sigma^{i+} \gamma_5]}(x, S) &= S_T^i \delta q(x) \end{aligned}$$

twist 3 projections  
(involve “good”  $\phi$  and  
“bad”  $\chi$  components)

$$\begin{aligned} \Phi^{[\mathbf{1}]}(x, S) &= \frac{M}{P^+} e(x) \\ \Phi^{[\gamma^i \gamma_5]}(x, S) &= \frac{M}{P^+} S_T^i g_T(x) \\ \Phi^{[i\sigma^{+-} \gamma_5]}(x, S) &= \frac{M}{P^+} \lambda h_L(x) \end{aligned}$$

Example: 
$$\int dp^- d\mathbf{p}_\perp \text{Tr} [\Phi(p, P, S) \mathbf{1}] \Big|_{p^+ = xP^+} = \frac{M}{P^+} \int \frac{d\xi^-}{2\pi} e^{-ixP^+\xi^-} \langle P | \underbrace{\bar{\psi}(\xi^-) \psi(0)} | P \rangle$$

$$\psi^\dagger \gamma^0 \psi = \overline{\begin{pmatrix} \phi \\ \chi \end{pmatrix}} \begin{pmatrix} 0 & \sigma_3 \\ \sigma_3 & 0 \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} \sim \phi^\dagger \sigma_3 \chi \rightarrow \phi^\dagger \sigma_3 (i \not{D} + m) \phi$$

quark-gluon correlator  
suppressed

# probabilistic interpretation at leading twist

helicity (chirality) projectors  $P_{R/L} = \frac{1 \pm \gamma_5}{2}$   $[P_{R/L}, P_{\pm}] = 0$

$$\begin{aligned} \Phi[\gamma^+] &\rightarrow \bar{\psi} \gamma^+ \psi \rightarrow \psi^\dagger P_+ \psi \rightarrow \phi^\dagger \phi = \phi^\dagger (P_R + P_L)^\dagger (P_R + P_L) \phi \\ &= \phi^\dagger (P_R^\dagger P_R + P_L^\dagger P_L) \phi = \bar{R}R + \bar{L}L \end{aligned}$$



momentum distribution

$$\begin{aligned} \Phi[\gamma^+ \gamma_5] &\rightarrow \bar{\psi} \gamma^+ \gamma_5 \psi \rightarrow \psi^\dagger P_+ \gamma_5 P_+ \psi \rightarrow \phi^\dagger (P_R - P_L) \phi \\ &= \phi^\dagger (P_R^\dagger P_R - P_L^\dagger P_L) \phi = \bar{R}R - \bar{L}L \end{aligned} \quad [P_{\pm}, \gamma_5] = 0$$

helicity distribution

$$\Phi[i\sigma^{i+} \gamma_5] \rightarrow \bar{\psi} i\sigma^{i+} \gamma_5 \psi \dots \rightarrow \phi^\dagger (P_L^\dagger \gamma^i P_R - P_R^\dagger \gamma^i P_L) \phi \quad ?$$

(cont'ed)

projector of transverse polarization  $P_{\uparrow/\downarrow} = \frac{1 \pm \gamma^i \gamma_5}{2}$  (from helicity basis to transversity basis)

$$\Phi [i\sigma^{i+}\gamma_5] \rightarrow \bar{\psi} i\sigma^{i+}\gamma_5 \psi \dots \rightarrow \phi^\dagger (P_\uparrow P_\uparrow - P_\downarrow P_\downarrow) \phi$$



→  $\delta q$  is “net” distribution of transverse polarization !

more usual and “comfortable” notations:

$$\Phi [\gamma^+] (x, S) = q(x) \longrightarrow f_1^q(x) \quad f_1 = \text{circle with black dot}$$

unpolarized quark  $q$  leading twist

$$\Phi [\gamma^+ \gamma_5] (x, S) = \lambda \Delta q(x) \longrightarrow \lambda g_1^q(x) \quad g_1 = \text{circle with black dot and red arrow} - \text{circle with black dot and red arrow}$$

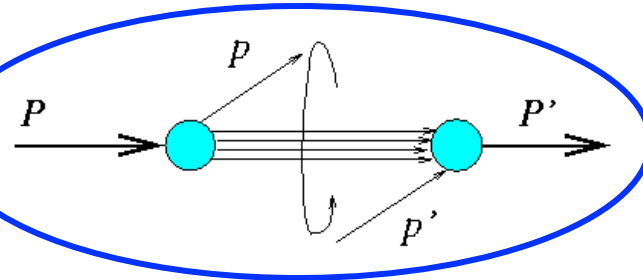
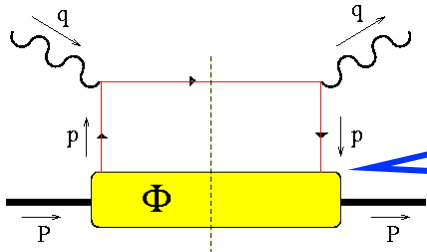
long. polarized quark  $\vec{q}$

$$\Phi [i\sigma^{i+}\gamma_5] (x, S) = S_T^i \delta q(x) \longrightarrow S_T^i h_1^q(x) \quad h_1 = \text{circle with black dot and red arrow} - \text{circle with black dot and red arrow}$$

$q^\uparrow$  transv. polarized quark

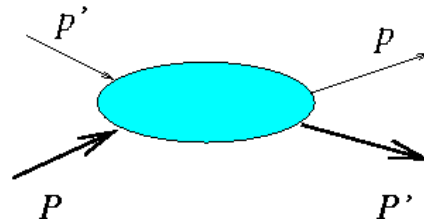


# need for 3 Parton Distribution Functions at leading twist



target with helicity  $P$   
 emits  
 parton with helicity  $p$   
 hard scattering  
 parton with helicity  $p'$   
 reabsorbed in  
 hadron with helicity  $P'$

discontinuity in  $u$  channel of  
 forward scattering amplitude  
 parton-hadron



$$\rightarrow A_{Pp,P'p'}$$

at leading twist only “good” components  
 process is collinear modulo  $o(1/Q)$   
 $\Rightarrow$  helicity conservation  $P+p' = p+P'$

$$|\psi\rangle \sim \begin{vmatrix} \phi_+ \\ \phi_- \\ o(1/Q) \\ o(1/Q) \end{vmatrix}$$

(cont'ed)

invariance for parity transformations  $\rightarrow A_{Pp,P'p'} = A_{-P-p.-P'-p'}$

invariance for time-reversal  $\rightarrow A_{Pp,P'p'} = A_{P'p',Pp}$

	P	p	$\rightarrow$	P'	p'
1)	+	+		+	+
2)	+	-		+	-
3)	+	+		-	-

constraints  $\rightarrow 3 A_{Pp,P'p'}$  independent

$$\left\{ \begin{array}{l} (+,+) \rightarrow (+,+) + (+,-) \rightarrow (+,-) \equiv f_1 \bar{R}R + \bar{L}L \\ (+,+) \rightarrow (+,+) - (+,-) \rightarrow (+,-) \equiv g_1 \bar{R}R - \bar{L}L \\ (+,+) \rightarrow (-,-) \equiv h_1 \bar{L}R \end{array} \right.$$

## helicity basis

$$h_1 \sim \phi^\dagger P_L^\dagger \gamma_i P_R \phi$$

## transversity basis

$$h_1 \sim \phi^\dagger (P_\uparrow^\dagger P_\uparrow - P_\downarrow^\dagger P_\downarrow) \phi$$

$$\langle \uparrow | \dots | \uparrow \rangle - \langle \downarrow | \dots | \downarrow \rangle \propto \langle + | \dots | - \rangle + \langle - | \dots | + \rangle$$

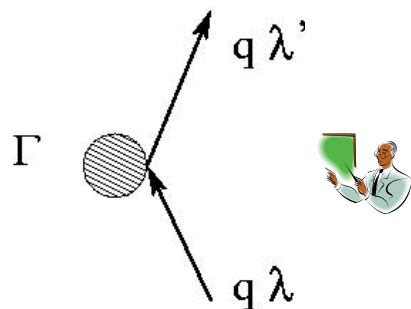
$$\begin{cases} |\uparrow\rangle = \frac{1}{\sqrt{2}}(|+\rangle + |-\rangle) \\ |\downarrow\rangle = \frac{1}{\sqrt{2}}(|+\rangle - |-\rangle) \end{cases}$$



for “good” components  
 ( $\Leftrightarrow$  twist 2) helicity = chirality  
 hence  $h_1$  does not conserve  
 chirality (chiral odd)

QCD conserves helicity at leading twist

**massless** quark spinors  $\lambda = \pm 1$



$$M \sim \bar{u}_{\lambda'} \Gamma u_\lambda$$

$$\sim \bar{u}_{\lambda'} (1 - \lambda' \gamma_5) (1 - \lambda \gamma_5) \Gamma u_\lambda$$

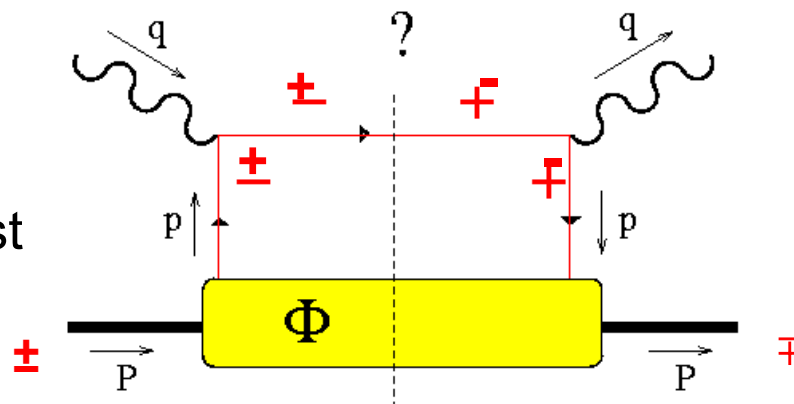
$$\sim \delta_{\lambda\lambda'} \bar{u}_{\lambda'} \Gamma u_\lambda + o\left(\frac{m_q}{E_q}\right)$$

$$\frac{1 + \lambda \gamma_5}{2} u_\lambda = u_\lambda$$

$$\bar{u}_\lambda \frac{1 - \lambda \gamma_5}{2} = \bar{u}_\lambda$$

QCD conserves helicity at leading twist

→  $h_1$  suppressed in inclusive DIS



## different properties between $f_1$ , $g_1$ and $h_1$

for inclusive DIS in QPM, correspondence between PDF's and structure fct's

$$f_1(x) \rightarrow F_1(x_B) = \frac{1}{2} \sum_f e_f^2 [f_1^f(x_B) + \bar{f}_1^f(x_B)] \longrightarrow \frac{1}{2} \sum_{f\bar{f}} e_f^2 [q_f^\uparrow(x_B) + q_f^\downarrow(x_B)]$$

$$g_1(x) \rightarrow G_1(x_B) = \frac{1}{2} \sum_f e_f^2 [g_1^f(x_B) + \bar{g}_1^f(x_B)] \longrightarrow \frac{1}{2} \sum_{f\bar{f}} e_f^2 [q_f^\uparrow(x_B) - q_f^\downarrow(x_B)]$$

but  $h_1$  has no counterpart at structure function level, because for inclusive polarized DIS, in  $W_A^{\mu\nu}$  **the contribution of  $G_2$  is suppressed with respect to that of  $G_1$** : it appears at twist 3

$$W_A^{\mu\nu} = i\epsilon^{\mu\nu\rho\sigma} q_\rho S_\sigma \left[ MG_1(\nu, Q^2) + \frac{P \cdot q}{M} G_2(\nu, Q^2) \right] - i\epsilon^{\mu\nu\rho\sigma} q_\rho P_\sigma \frac{S \cdot q}{M} G_2(\nu, Q^2)$$

for several years  $h_1$  has been ignored; common belief that transverse polarization would generate only twist-3 effects, confusing with  $g_T$  in  $G_2$

$$\Phi^{[\gamma^i \gamma_5]}(x, S) = \frac{M}{P^+} S_T^i g_T(x) \longrightarrow g_1(x) + g_2(x) = \sum_f \frac{e_f^2 m_f}{2Mx} [q_f^{\rightarrow}(x) - q_f^{\leftarrow}(x)]$$

in reality, this bias is based on the misidentification of transverse spin of hadron (appearing at twist 3 in hadron tensor) and distribution of transverse polarization of partons in transversely polarized hadrons, that does not necessarily appear only at twist 3:

	$\Phi^{[\Gamma]}$	long. pol.	$\Phi^{[\Gamma]}$	transv. pol.
twist 2	$\gamma^+ \gamma_5$	$g_1$	$i \sigma^{i+} \gamma_5$	$h_1$
twist 3	$i \sigma^{+-} \gamma_5$	$h_L$	$\gamma^i \gamma_5$	$g_T$



perfect “crossed” parallel between t=2 and t=3 for both helicity and transversity

moreover,  $h_1$  has same relevance of  $f_1$  and  $g_1$  at twist 2. In fact, on helicity basis  $f_1$  and  $g_1$  are diagonal whilst  $h_1$  is not,

$$f_1 \sim \phi^\dagger (P_R^\dagger P_R + P_L^\dagger P_L) \phi \quad g_1 \sim \phi^\dagger (P_R^\dagger P_R - P_L^\dagger P_L) \phi \quad h_1 \sim \phi^\dagger P_L^\dagger P_R \phi$$

but on transversity basis the situation is reversed:

$$f_1 \sim \phi^\dagger (P_\uparrow^\dagger P_\uparrow + P_\downarrow^\dagger P_\downarrow) \phi \quad g_1 \sim \phi^\dagger P_\downarrow^\dagger P_\uparrow \phi \quad h_1 \sim \phi^\dagger (P_\uparrow^\dagger P_\uparrow - P_\downarrow^\dagger P_\downarrow) \phi$$

$h_1$  is badly known because it is suppressed in inclusive DIS  
theoretically, we know its evolution equations up to NLO in  $\alpha_s$   
there are model calculations, and lattice calculations of its first Mellin  
moment (= tensor charge).

(Barone & Ratcliffe, *Transverse Spin Physics*, World Scientific (2003) )

only recently first extraction of parametrization of  $h_1$  with two independent  
methods by combining data from semi-inclusive reactions:

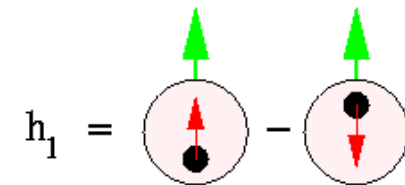
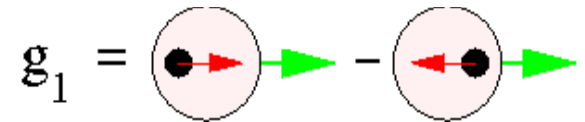
( Anselmino *et al.*, Phys. Rev. D**75** 054032 (2007); hep-ph/0701006  
updated in arXiv:1303.3822 [hep-ph]

Bacchetta, Courtoy, Radici, Phys. Rev. Lett. **107** 012001 (2011)  
JHEP 1303 (2013) 119 )

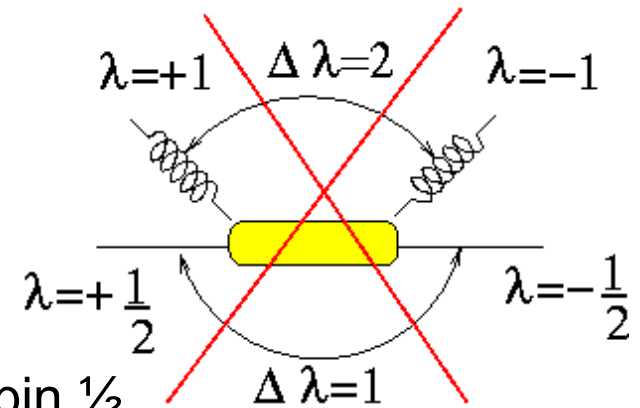
1.  $h_1$  has very different properties from  $g_1$
2. need to define best strategies for extracting it from data

chiral-odd  $h_1 \rightarrow$  interesting properties with respect to other PDF

- $g_1$  and  $h_1$  (and all PDF) are defined in IFM  
i.e. boost  $Q \rightarrow \infty$  along  $z$  axis  
but boost and Galileo rotations commute in  
nonrelativistic frame  $\rightarrow g_1 = h_1$   
any difference is given by relativistic effects  
 $\rightarrow$  info on relativistic dynamics of quarks



- for gluons we define  
 $G(x)$  = momentum distribution  
 $\Delta G(x)$  = helicity distribution  
but we have no “transversity” in hadron with spin  $\frac{1}{2}$   
 $\rightarrow$  evolution of  $h_1^q$  decoupled from gluons !





$$\langle PS | \bar{q}^f \gamma^\mu \gamma_5 q^f | PS \rangle \Big|_{Q^2} = 2\lambda P^\mu \int dx \left[ g_1^f(x, Q^2) \oplus \bar{g}_1^f(x, Q^2) \right] = 2\lambda P^\mu g_A$$

axial charge

$$\langle PS | \bar{q}^f i\sigma^{\mu\nu} \gamma_5 q^f | PS \rangle \Big|_{Q^2} = 2S^{[\mu} P^{\nu]} \int dx \left[ h_1^f(x, Q^2) \ominus \bar{h}_1^f(x, Q^2) \right] = 2S^{[\mu} P^{\nu]} g_T(Q^2)$$

tensor charge  
(not conserved)

- axial charge from C(arge)-even operator
- tensor charge from C-odd → it does not take contributions from quark-antiquark pairs of Dirac sea

**summary:** evolution of  $h_1^q(x, Q^2)$  is very different from other PDF because it does not mix with gluons → evolution of non-singlet object  
 moreover, tensor charge is non-singlet, C-odd and not conserved  
 →  $h_1$  is best suited to study valence contribution to spin



- relations between PDF's

$$[(+, +) \rightarrow (+, +)] + [(+, -) \rightarrow (+, -)] \equiv f_1$$

$$[(+, +) \rightarrow (+, +)] - [(+, -) \rightarrow (+, -)] \equiv g_1$$

$$(+, +) \rightarrow (-, -) \equiv h_1$$

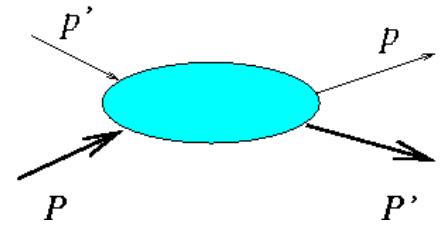
by definition  $\rightarrow f_1 \geq |g_1|, |h_1|$  ,  $f_1 \geq 0$

$$|(+, +) \pm (-, -)|^2 = A_{++,++} + A_{--,--} \pm 2 \operatorname{Re} A_{+,-} \geq 0$$

invariance for parity transformations  $\rightarrow A_{Pp,P'p'} = A_{-P-p.-P'-p'}$

$A_{++,++} = \frac{1}{2} (f_1 + g_1) \geq |A_{+,-}| = |h_1| \rightarrow$  Soffer inequality valid for every  $x$  and  $Q^2$  (at least up to NLO)

$A_{Pp,P'p'}$

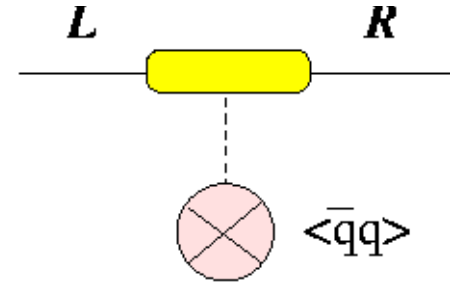


(cont'ed)

$h_1$  does not conserve chirality (chiral odd)

$h_1$  can be determined by soft processes related to chiral symmetry breaking of QCD

(role of nonperturbative QCD vacuum?)



in **helicity basis** cross section must be chiral-even

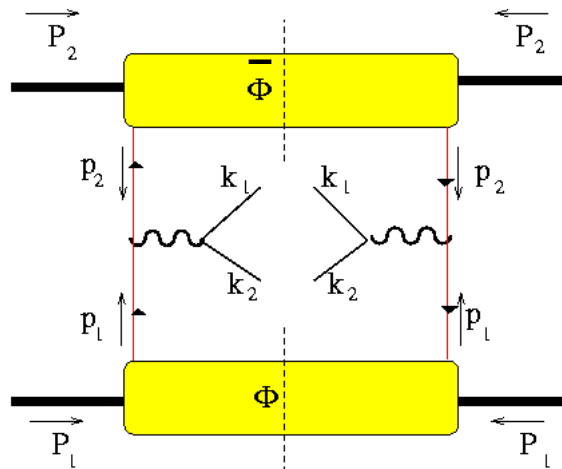
hence  $h_1$  must be extracted in elementary process where it appears with a chiral-odd partner

further constraint is to find this mechanism at leading twist

how to extract transversity from data ?

# how to extract transversity from data ?

the most obvious choice: polarized Drell-Yan  $p^\uparrow p^\uparrow \rightarrow l^+ l^- X$



$$\bar{\Phi}(x, S) = \int dp^- d\mathbf{p}_T \bar{\Phi}(p, P, S) \Big|_{p^+ = xP^+} \longrightarrow [f_1(x) + \lambda \cancel{g_1(x)} \gamma_5 + \bar{h}_1(x) \gamma_5 S_T] \not{P}$$

$$\Phi(x, S) = \int dp^- d\mathbf{p}_T \Phi(p, P, S) \Big|_{p^+ = xP^+} \longrightarrow [f_1(x) + \lambda \cancel{g_1(x)} \gamma_5 + h_1(x) \gamma_5 S_T] \not{P}$$

## Single-Spin Asymmetry (SSA)

$$\begin{aligned}
 A_{TT} &= \frac{d\sigma(p^\uparrow p^\uparrow) - d\sigma(p^\uparrow p^\downarrow)}{d\sigma(p^\uparrow p^\uparrow) + d\sigma(p^\uparrow p^\downarrow)} \\
 &= |S_{T_1}| |S_{T_2}| \frac{\sin^2 \theta \cos(2\phi - \phi_{S_1} - \phi_{S_2})}{1 + \cos^2 \theta} \frac{\sum_{f, \bar{f}} e_f^2 h_1^f(x_1) \bar{h}_1^f(x_2)}{\sum_{f, \bar{f}} e_f^2 f_1^f(x_1) \bar{f}_1^f(x_2)}
 \end{aligned}$$

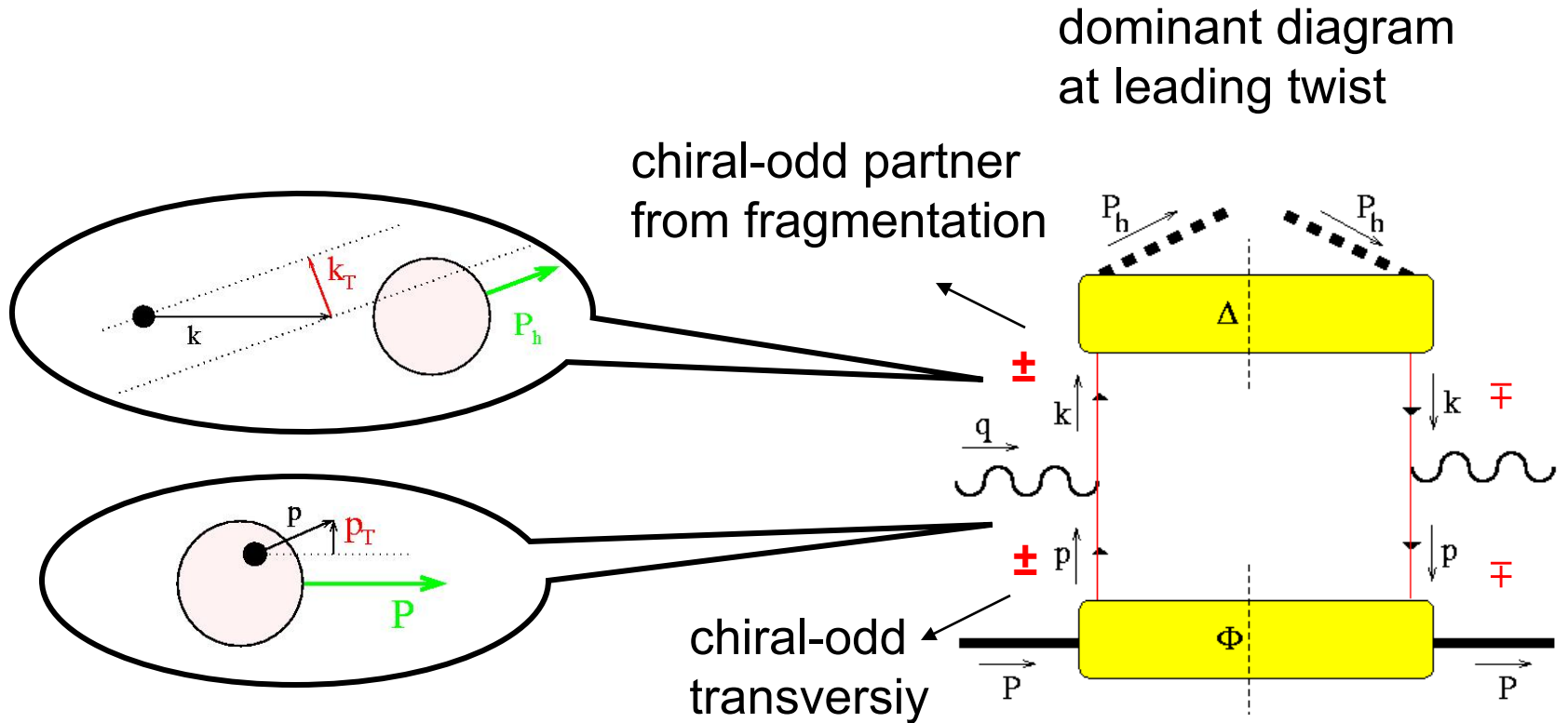
but = transverse spin distribution of antiquark in polarized proton  
 → antiquark from Dirac sea is suppressed

and simulations suggest that Soffer inequality, for each  $Q^2$ ,  
 bounds  $A_{TT}$  to very small numbers ( $\sim 1\%$ )

better to consider  $p^\uparrow \bar{p}^\uparrow \rightarrow l^+ l^- X$  (recent proposal PAX at GSI - Germany)  
 but technology still to be developed

otherwise .... need to consider semi-inclusive reactions

# alternative: semi-inclusive DIS (SIDIS)



in SIDIS  $\{P, q, P_h\}$  **not all collinear**;

convenient to choose frame where  $\mathbf{q}_T \neq 0$

→ sensitivity to transverse momenta of partons in hard vertex

→ more rich structure of  $\Phi$  → Transverse Momentum Distributions (TMD)